

EPFL

Physics of Materials

Dr. Thomas LaGrange

Chapter 6: Plastic Deformation: Introduction to the Dislocation model

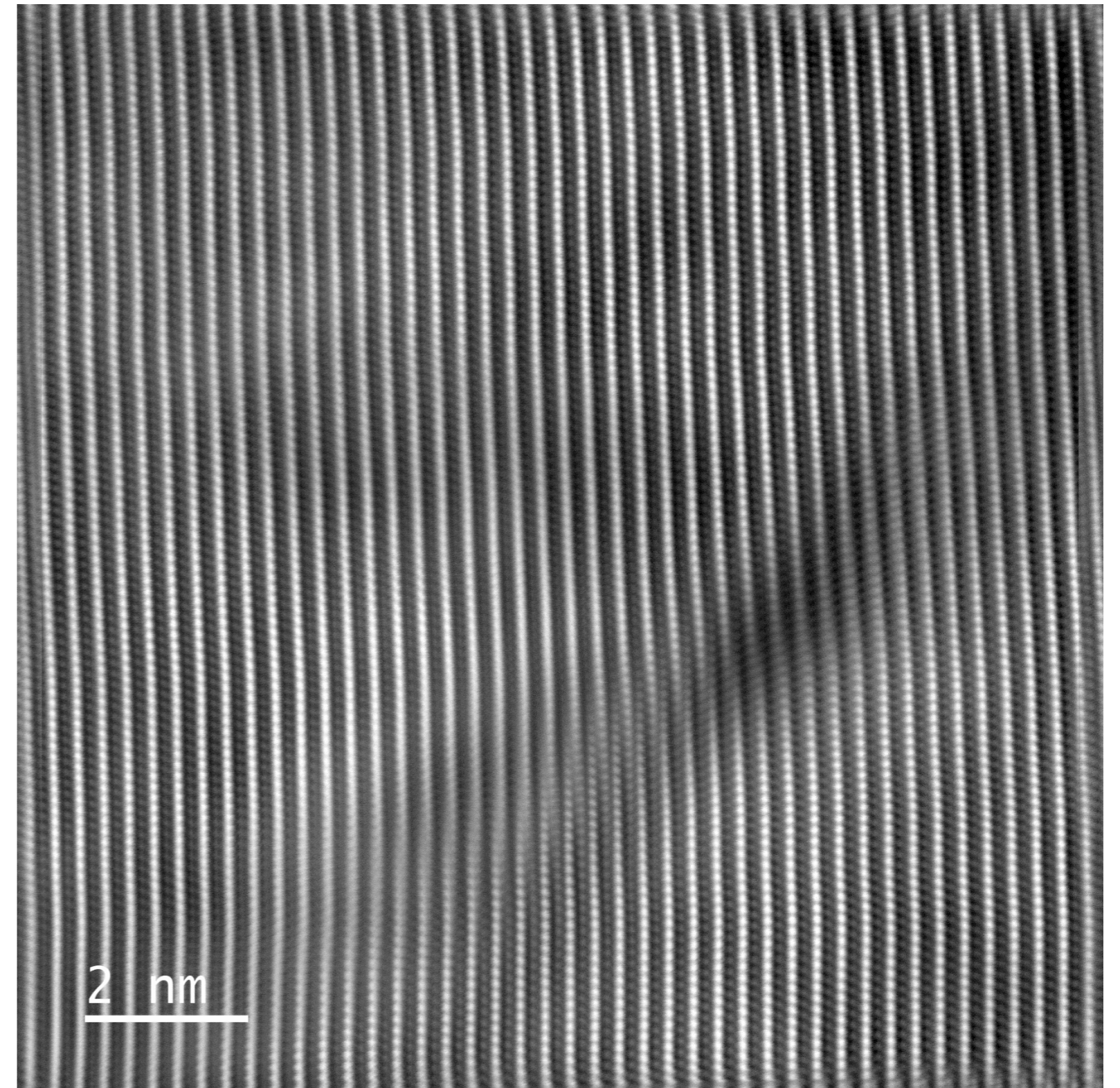
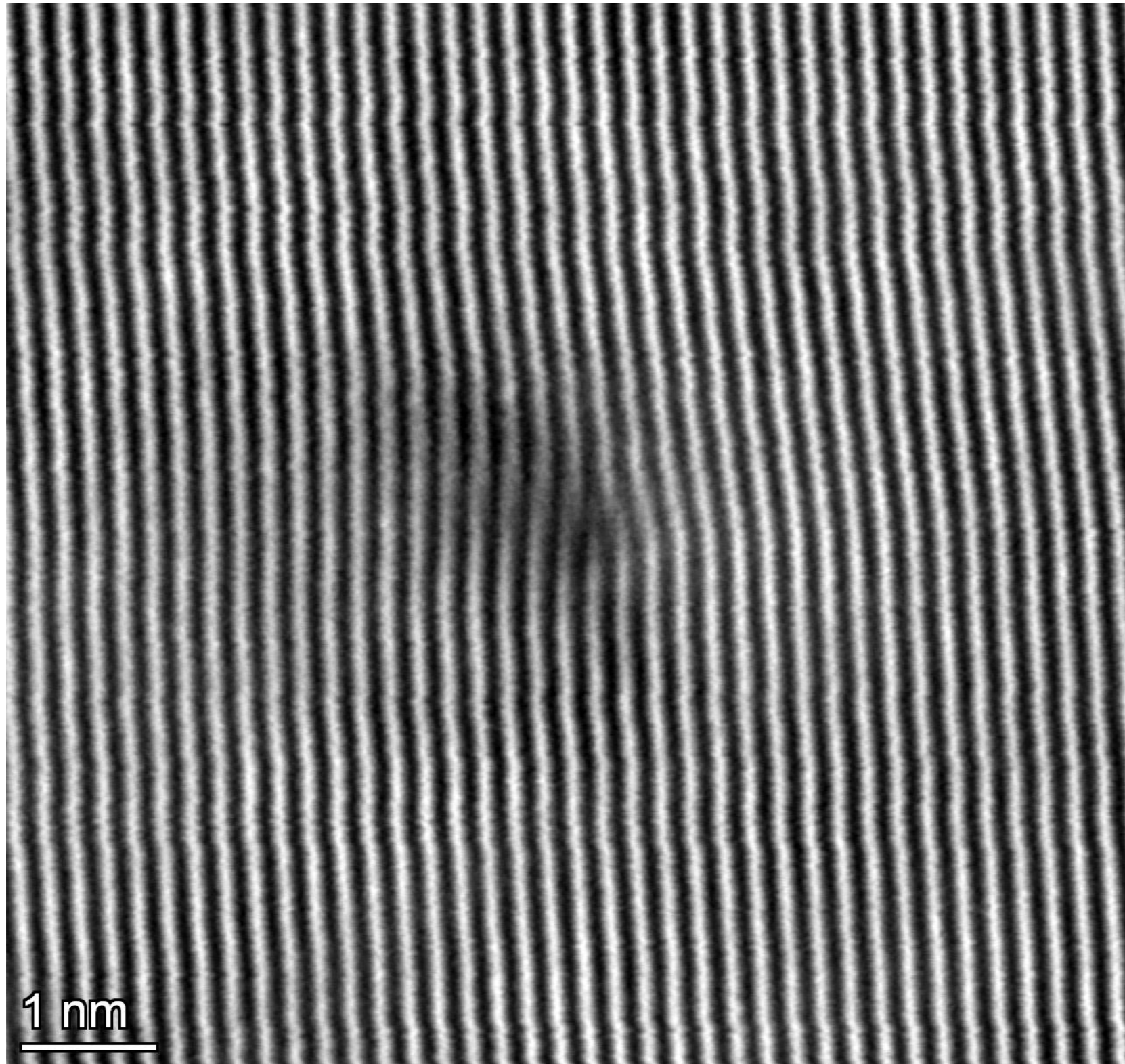
LUMES



Masters Course PHYS-307

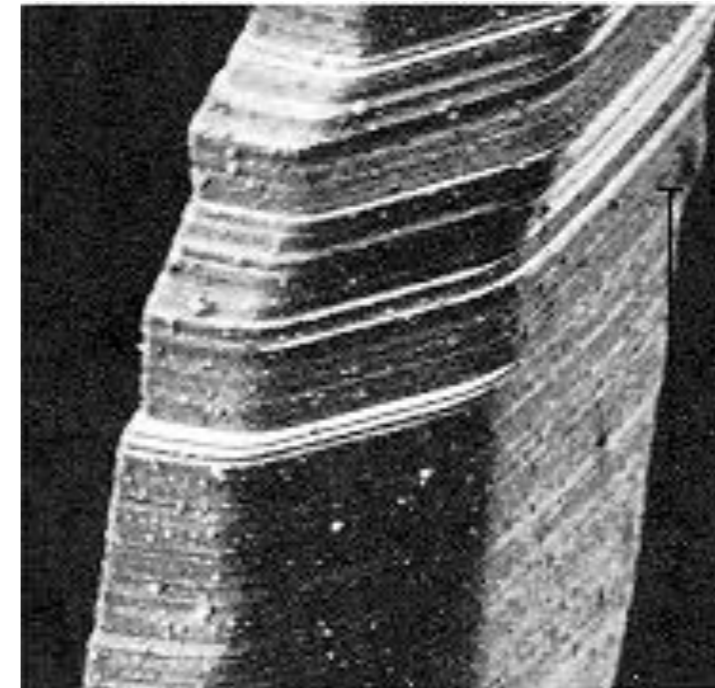
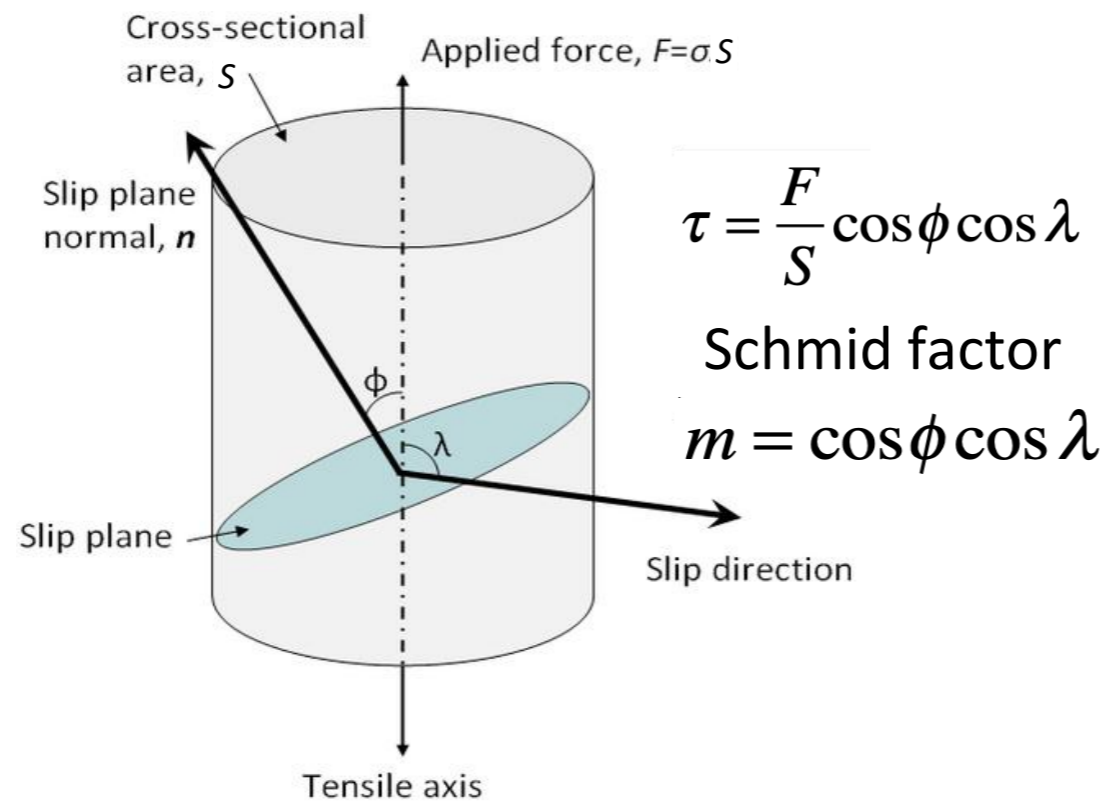
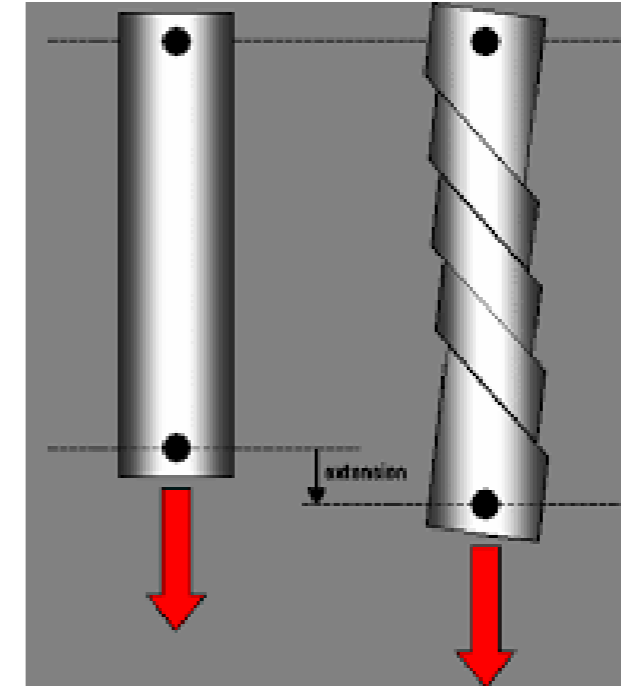
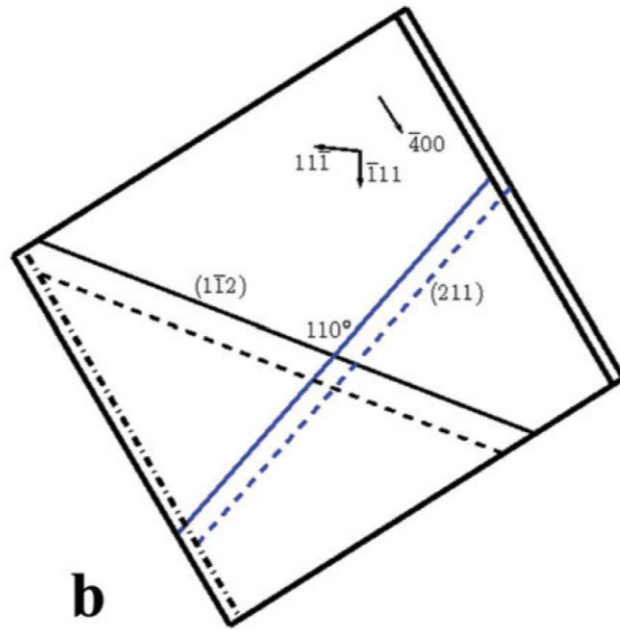
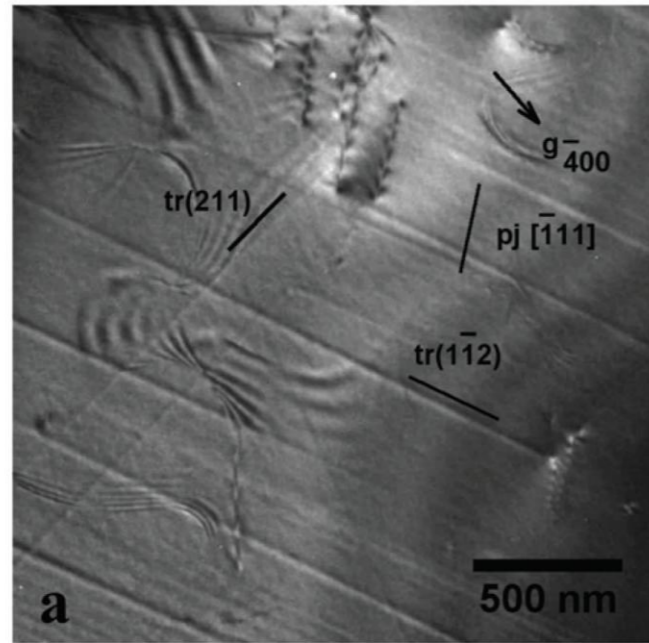
Fall 2025

Dislocations

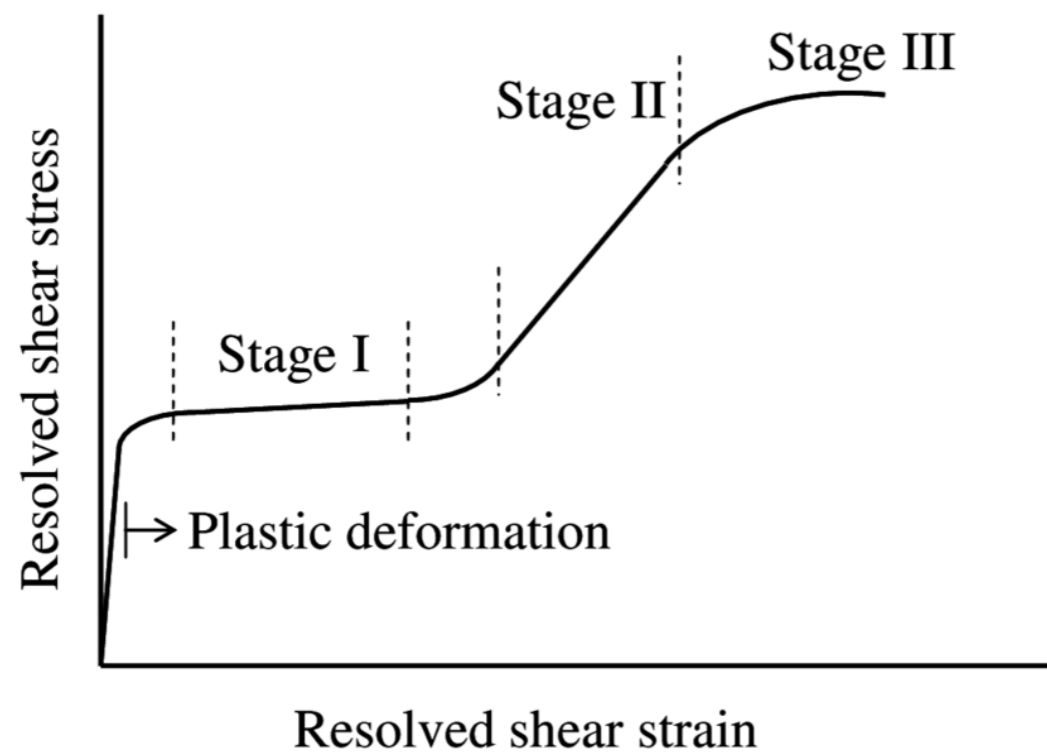
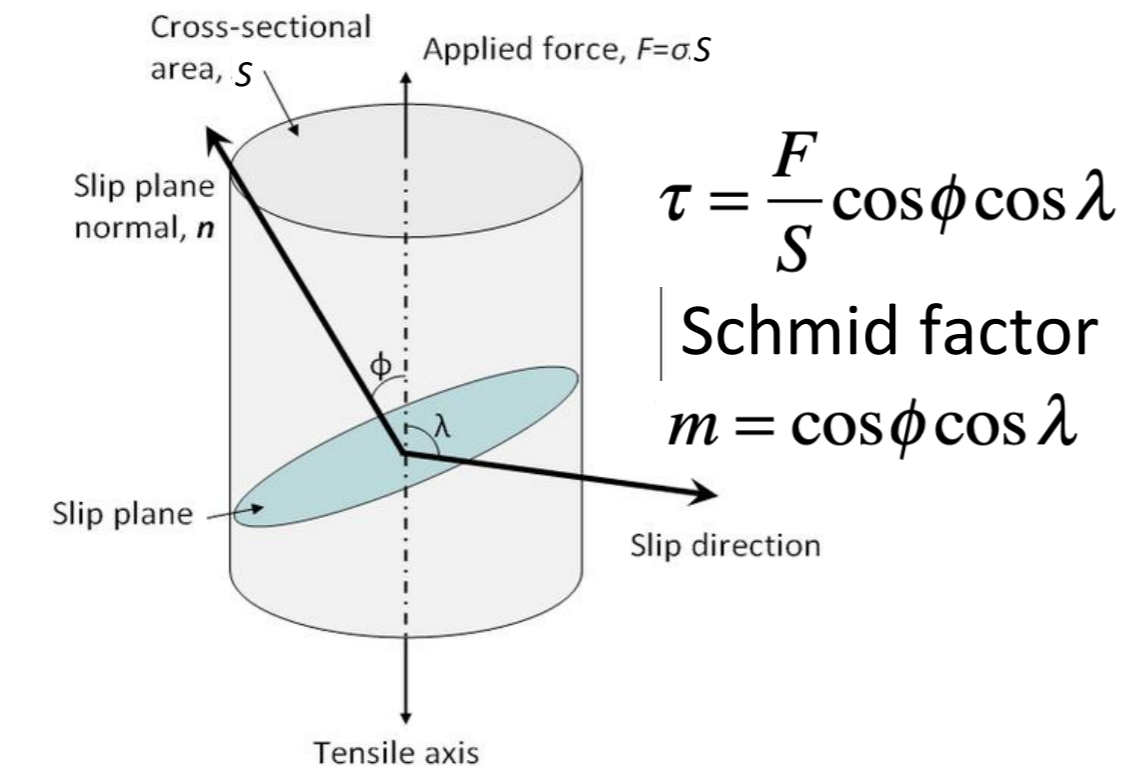


Dislocations were first observed in Single Crystals

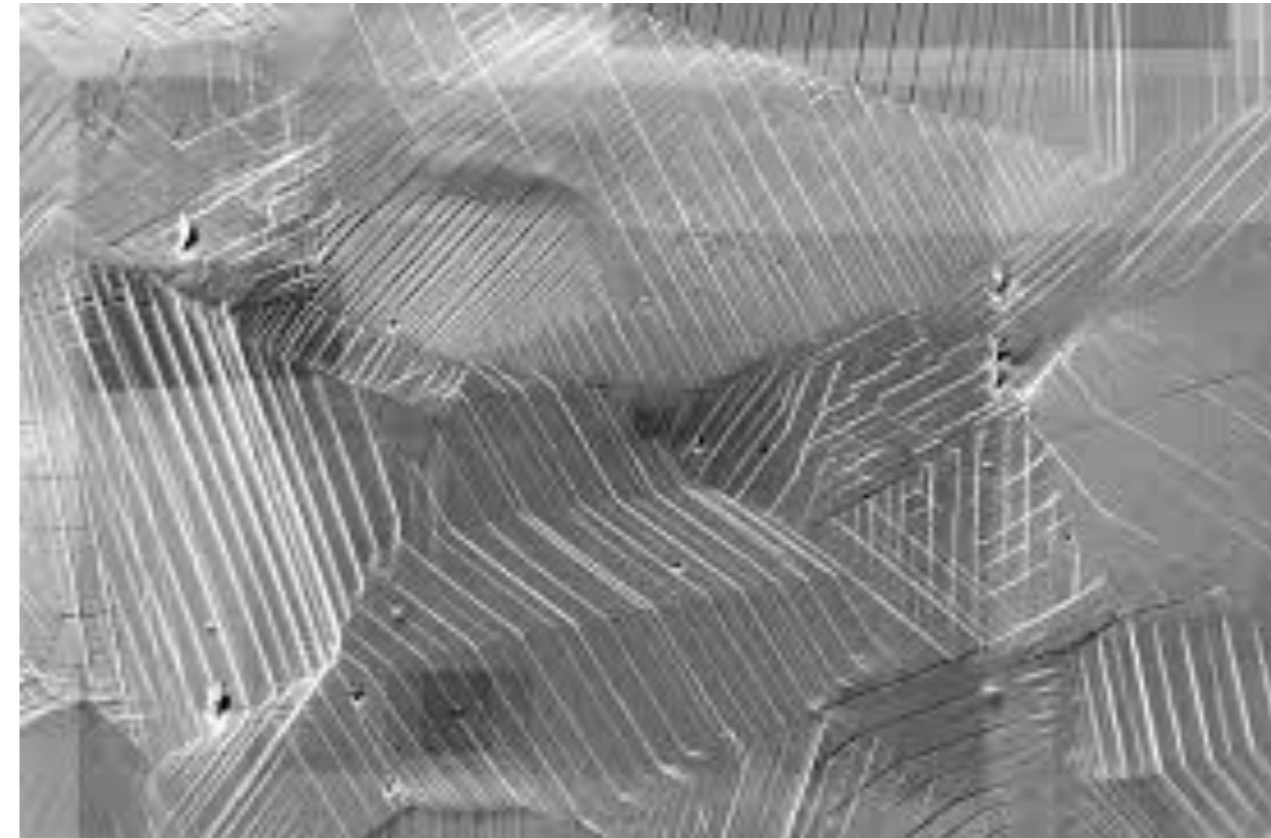
Slip lines in a single-crystal



On the track of dislocations



Slip lines in a polycrystal



- Stage 1: easy glide, dislocation motion
- Stage 2: Hardening from dislocations (with the highest Schmid factor) pile-ups
- Stage 3: hardening from activation of other slip systems and dislocations cutting through each other

Mechanical annealing

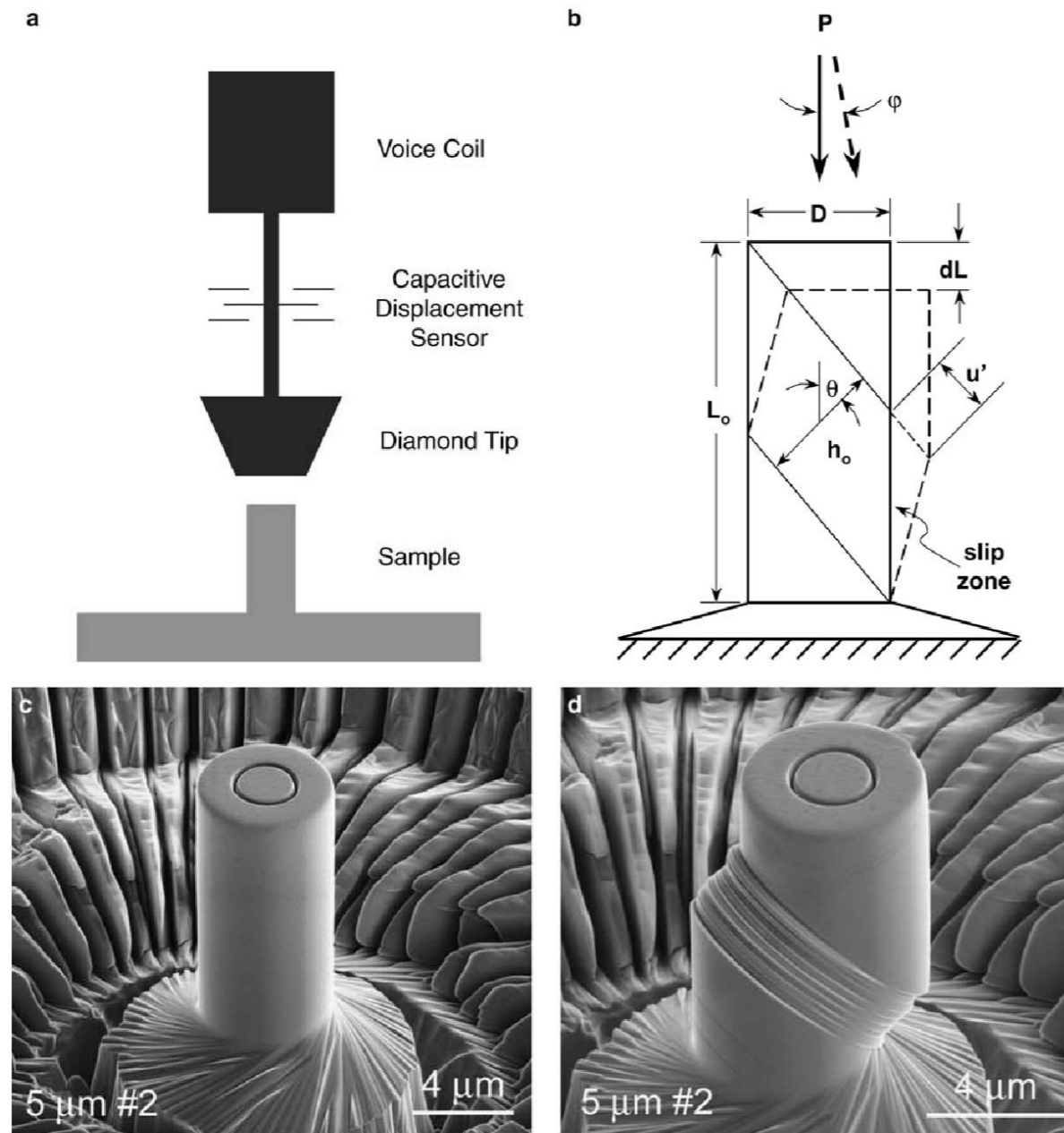
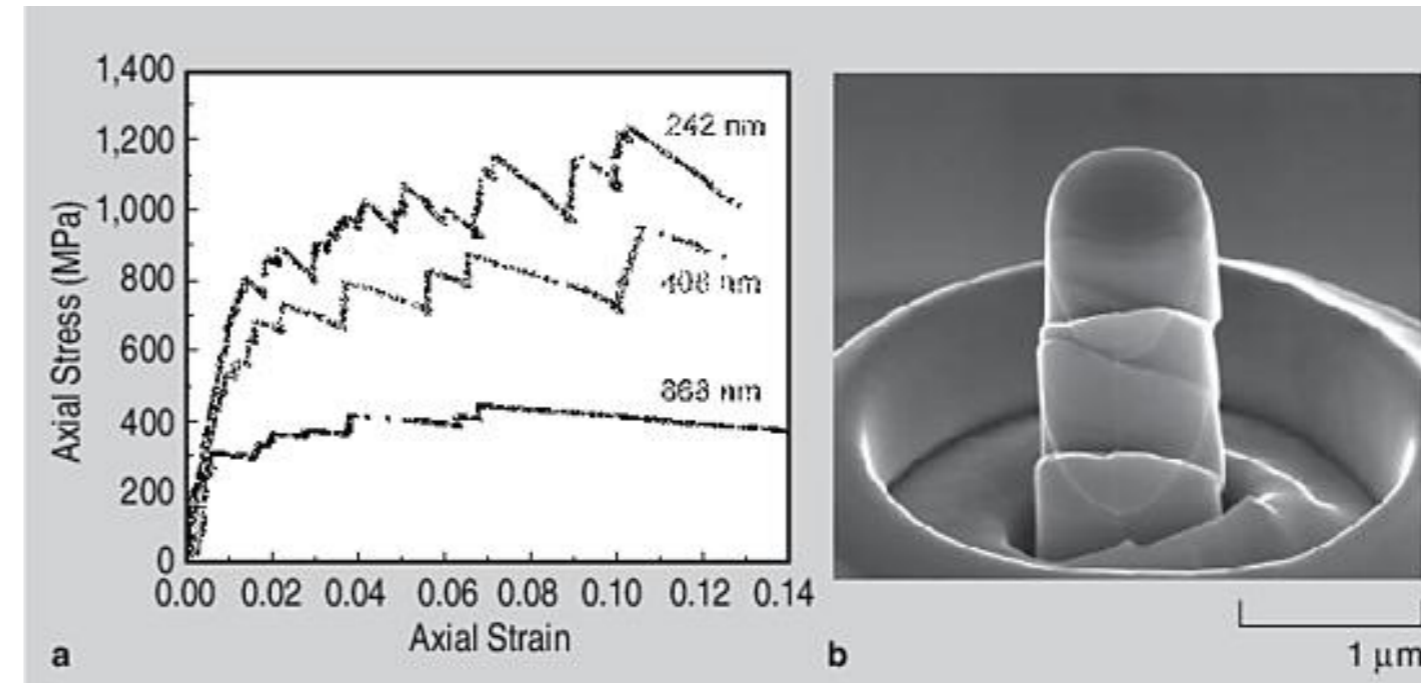
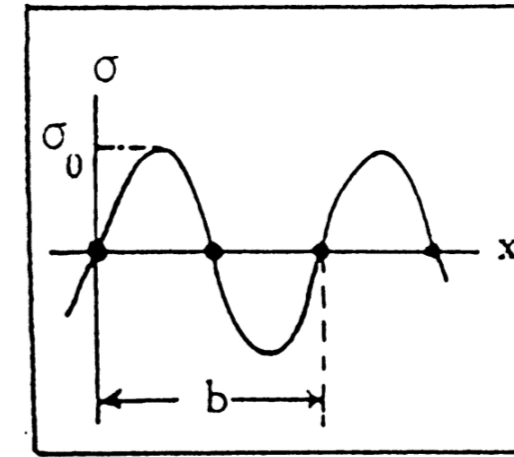
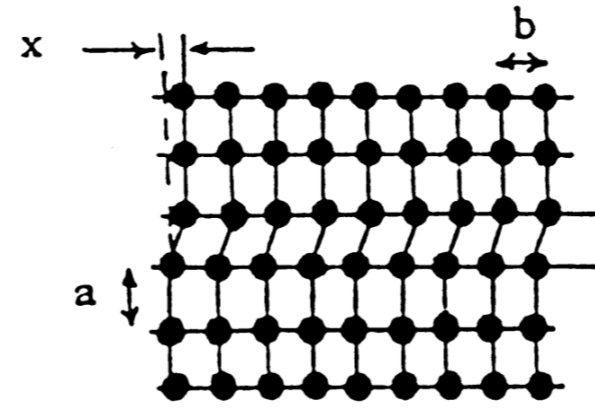


Figure 1



Serrated plasticity relates to the nucleation of dislocation proceeded by the gliding (at lower energy) on the slip plane (activated slip system) associated with the highest Schmid factor for this crystal system and orientation.

Shear-stress on a crystalline plane



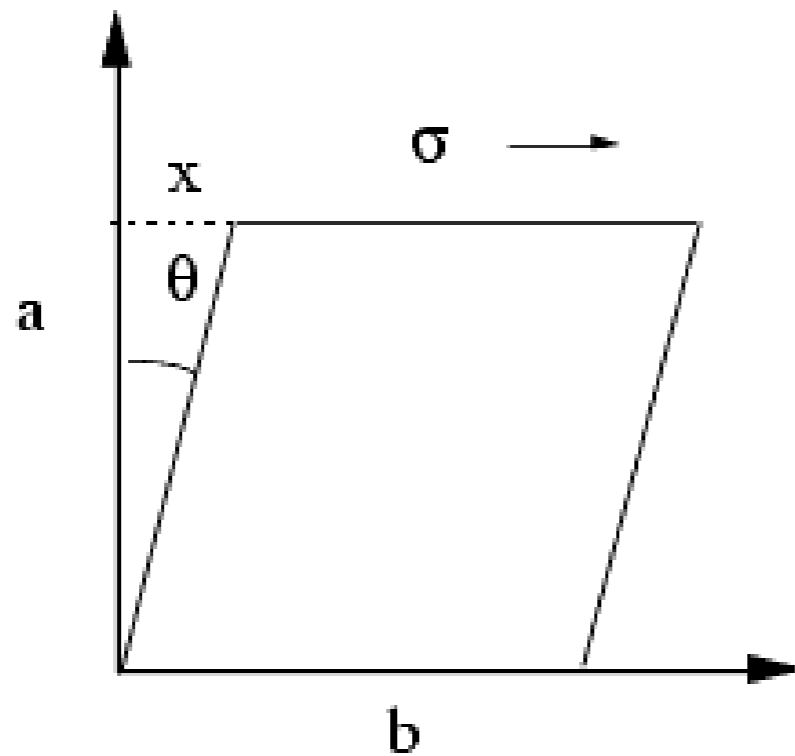
a)

b)

$$\sigma = \sigma_0 \sin\left(\frac{2\pi x}{b}\right) \approx \sigma_0 \frac{2\pi x}{b}$$

$$\sigma = \mu\theta = \mu \frac{x}{a} \approx \sigma_0 \frac{2\pi x}{b} \quad \sigma_0 = \frac{\mu b}{2\pi a}$$

$$\sigma = \frac{\mu b}{2\pi a} \sin\left(\frac{2\pi x}{b}\right) \text{ Maximum at } x = b/4, a > b$$

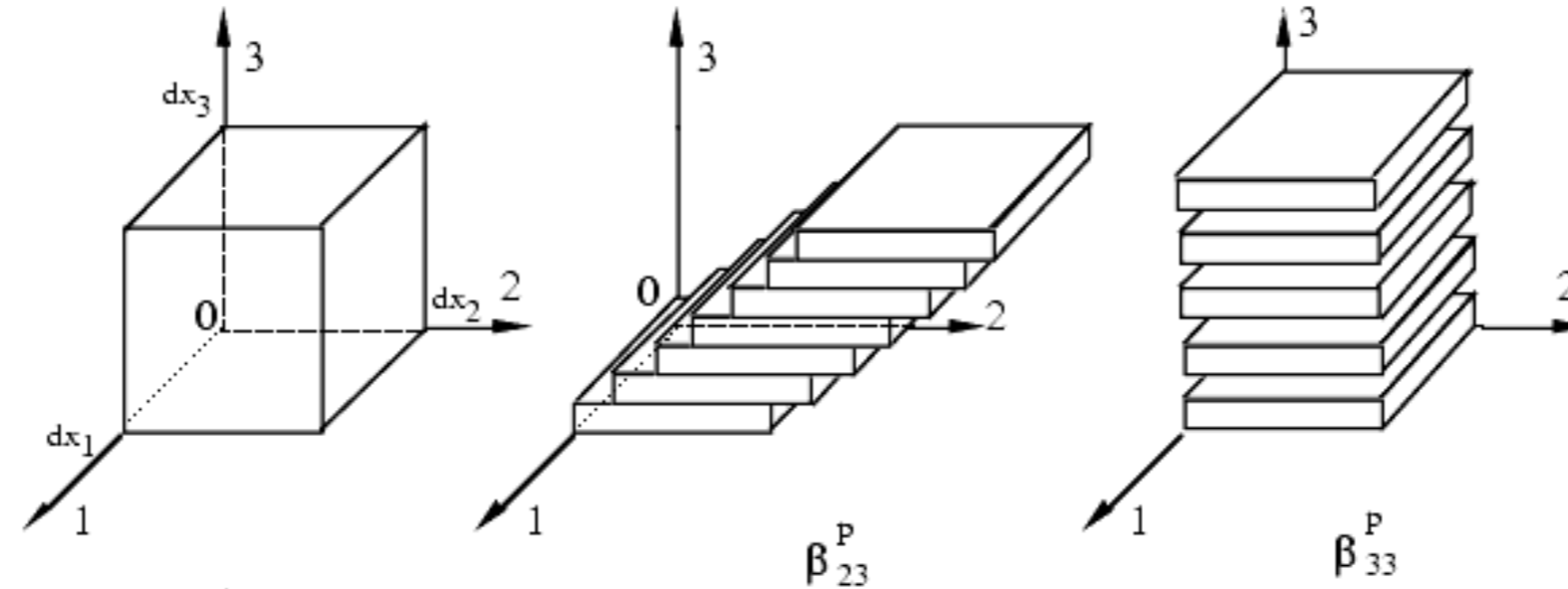


For copper

$$\sigma_{cr} \sim \frac{\mu}{10} = 4.5 \text{ GPa but } \sigma_y \sim 30 \text{ MPa}$$

Frenkel Limit

Plastic distortion



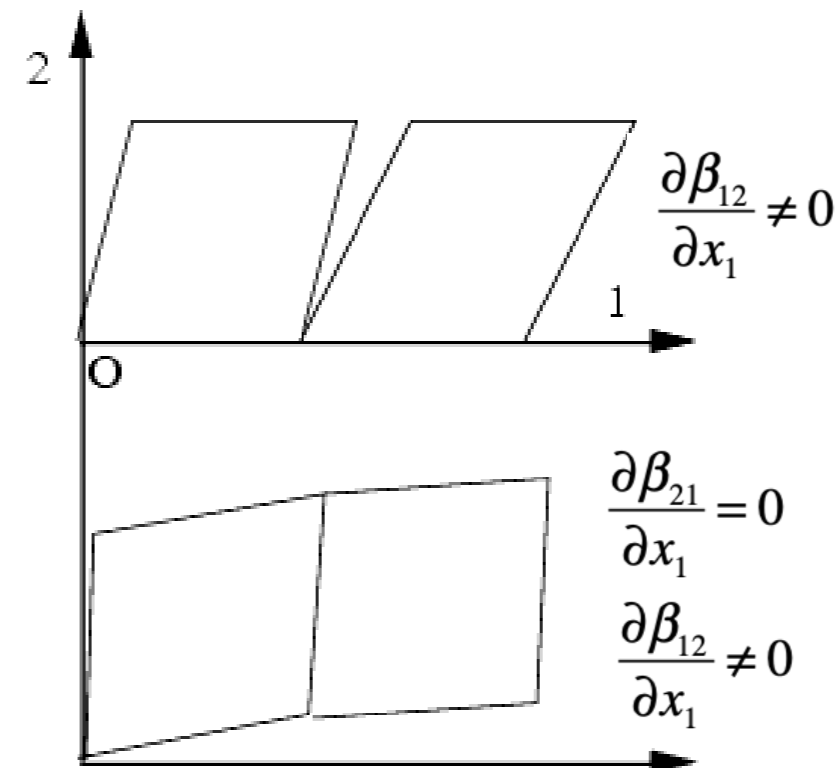
$$du_i^P = \int_0^{dx_j} \left(\frac{\partial u_i^P}{\partial x_j} \right) dx_j = \left(\frac{\partial u_i^P}{\partial x_j} \right) dx_j \quad du_i^P = \beta_{i1}^P dx_1 + \beta_{i2}^P dx_2 + \beta_{i3}^P dx_3 \quad d\vec{u}^P = \overline{\beta}^P d\vec{x}$$

$$d\vec{u} = d\vec{u}^P + d\vec{u}^e$$

Incompatible distortion: cracks

Compatible distortion = no cracks

$$\frac{\partial \beta_{21}}{\partial x_1} = \frac{\partial \beta_{31}}{\partial x_1} = 0$$



Plastic distortion

$d\vec{u}$ is an exact total differential thus:

$$d\vec{u} = \frac{\partial \vec{u}}{\partial x_j} dx_j = \vec{\beta}_j dx_j$$

Cauchy identity $\frac{\partial \vec{\beta}_j}{\partial x_k} = \frac{\partial \vec{\beta}_k}{\partial x_j}$ if $j \neq k$

Physical meaning, the creation of defects during plastic deformation creates residual internal stresses

$$\left[\begin{array}{cc} \frac{\partial \vec{\beta}_3}{\partial x_2} & \frac{\partial \vec{\beta}_2}{\partial x_3} \\ \frac{\partial \vec{\beta}_1}{\partial x_3} & \frac{\partial \vec{\beta}_3}{\partial x_1} \\ \frac{\partial \vec{\beta}_2}{\partial x_1} & \frac{\partial \vec{\beta}_1}{\partial x_2} \end{array} \right] = 0 = \overrightarrow{\text{rot}} \overline{\vec{\beta}} \quad \text{No cracks!}$$

$$\overrightarrow{\text{rot}} \overline{\vec{\beta}}^e = -\overrightarrow{\text{rot}} \overline{\vec{\beta}}^p = \overline{\vec{\alpha}}$$

Compatibility

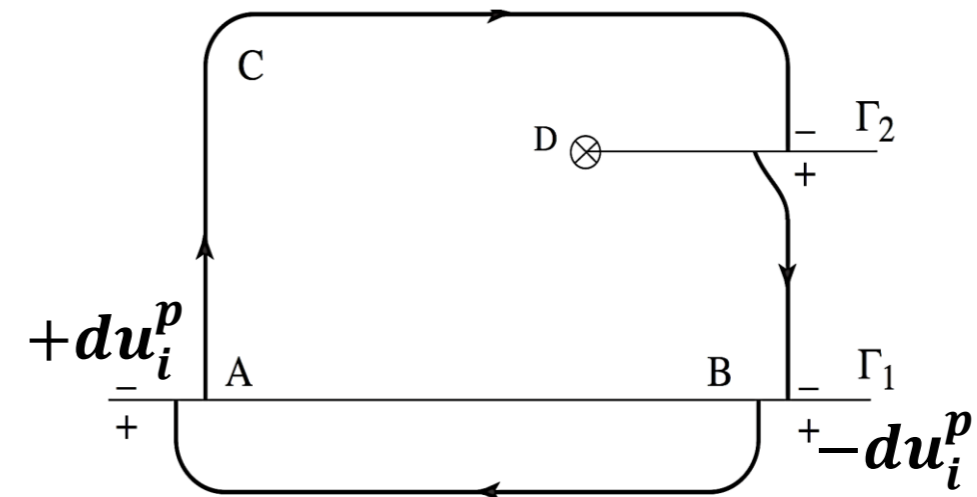
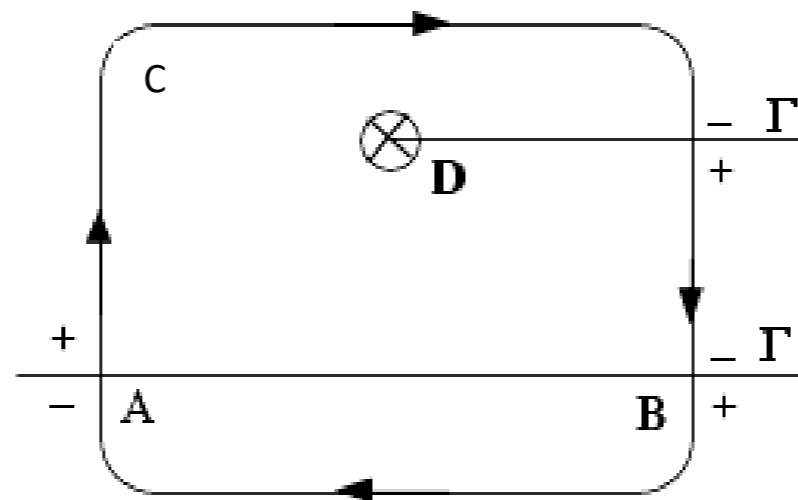
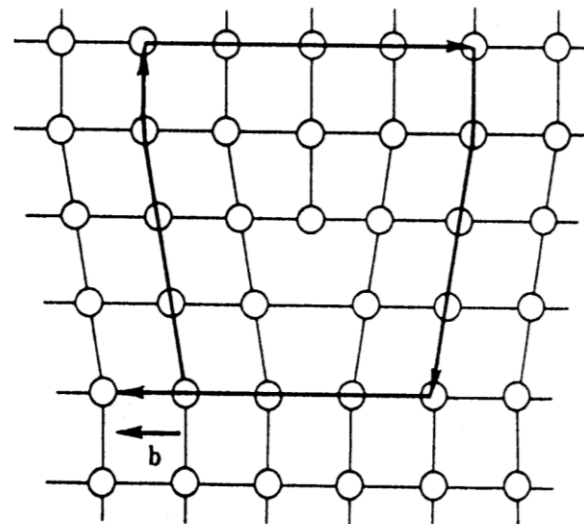
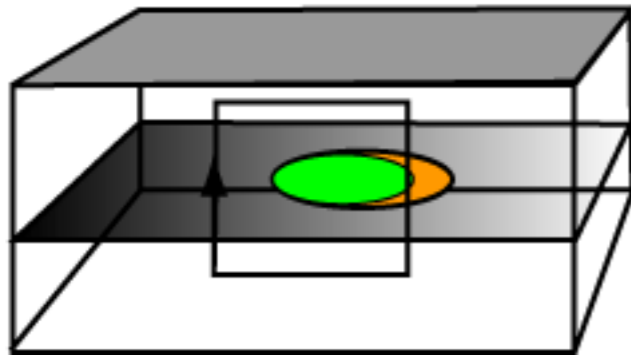
$$\overline{\alpha} = \overrightarrow{rot} \overline{\beta}^e = -\overrightarrow{rot} \overline{\beta}^p$$

$$\iint \overline{\alpha} d\vec{S} = \iint \overrightarrow{rot} \overline{\beta}^e d\vec{S} = \oint \overline{\beta}^e d\vec{x} = \oint \overline{\beta}_j^e dx_j = \oint d\vec{u}^e$$

Burgers vector

$$\vec{b} = \oint d\vec{u}^e = -\oint d\vec{u}^p = \iint \overline{\alpha} d\vec{S}$$

$$\alpha_{ij} = \frac{\Delta b_i}{\Delta S_j}$$



Analogy with electromagnetism

$$\vec{b} = \oint_C \vec{\beta}^e d\vec{x} \quad i = \oint_C \vec{H} d\vec{l}$$

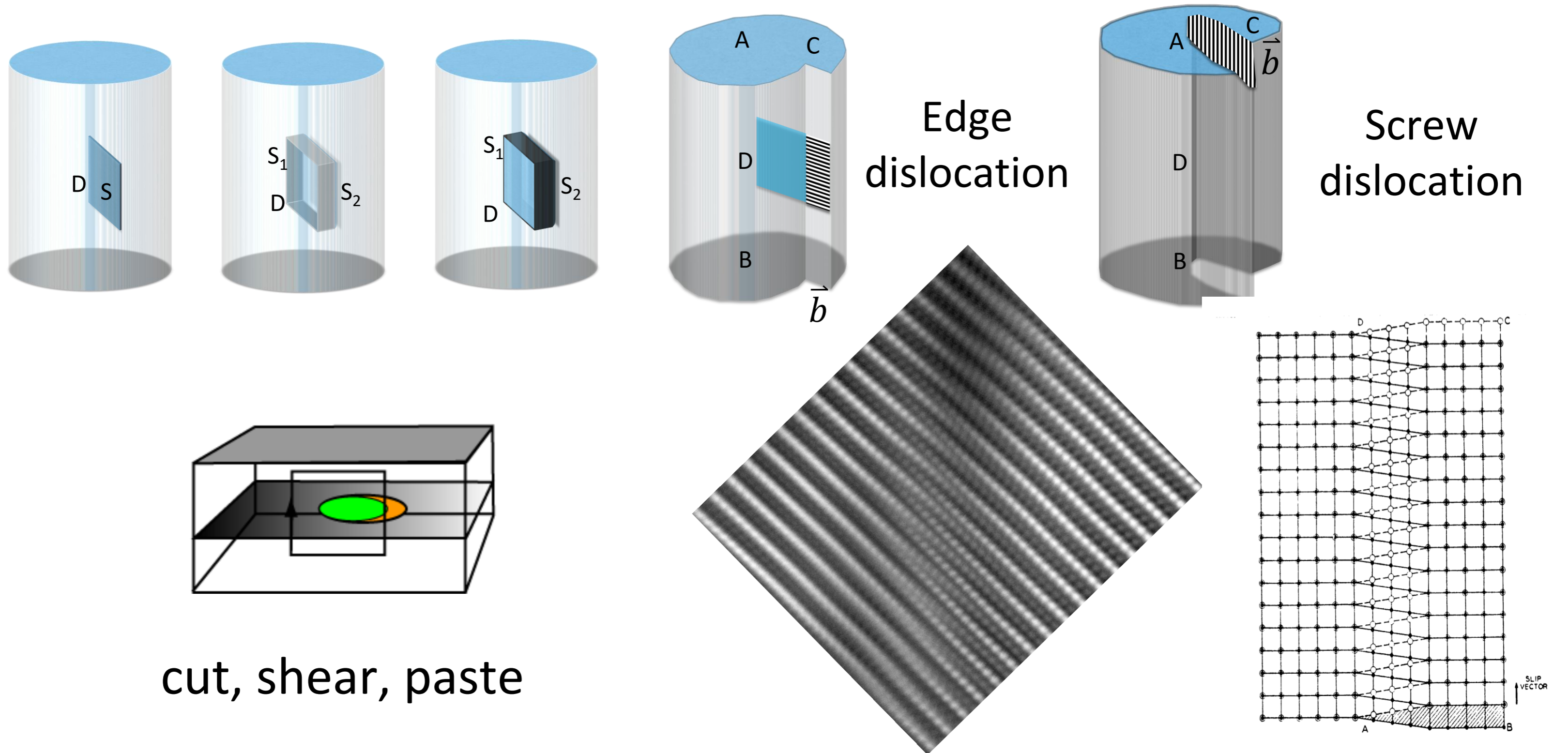
$$\vec{b} \leftrightarrow i \quad \vec{\beta}^e \leftrightarrow \vec{H}$$

$$\vec{\alpha} = \text{rot } \beta^e \quad \vec{j} = \text{rot } \vec{H}$$

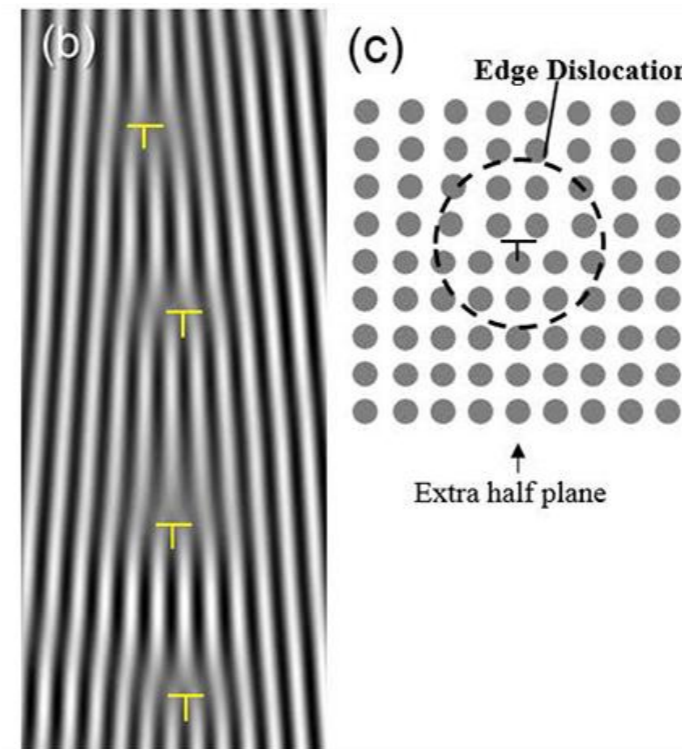
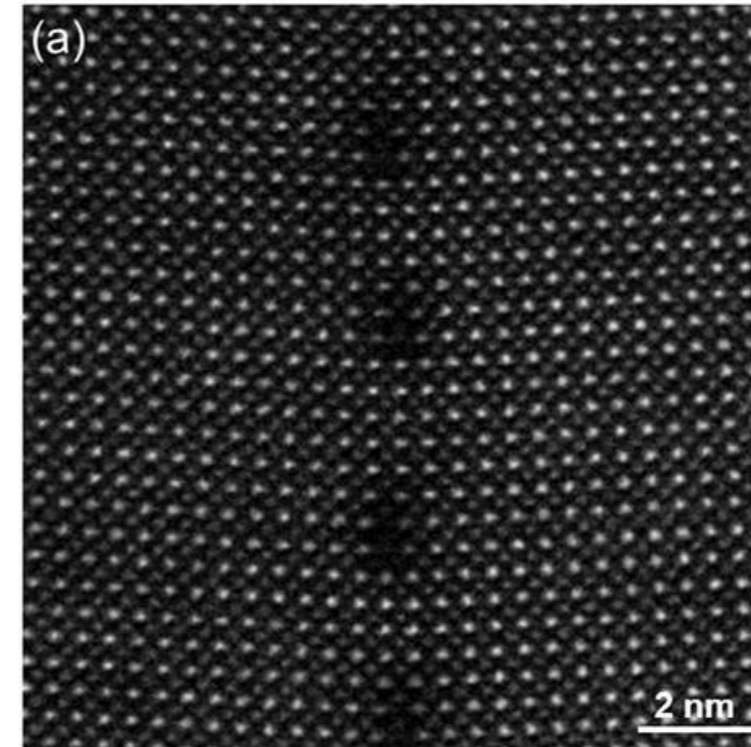
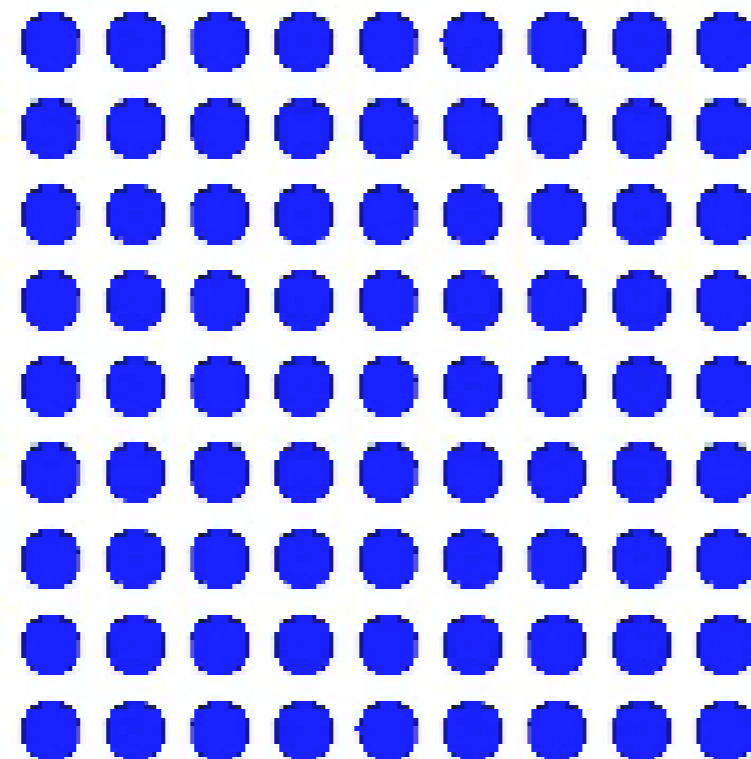
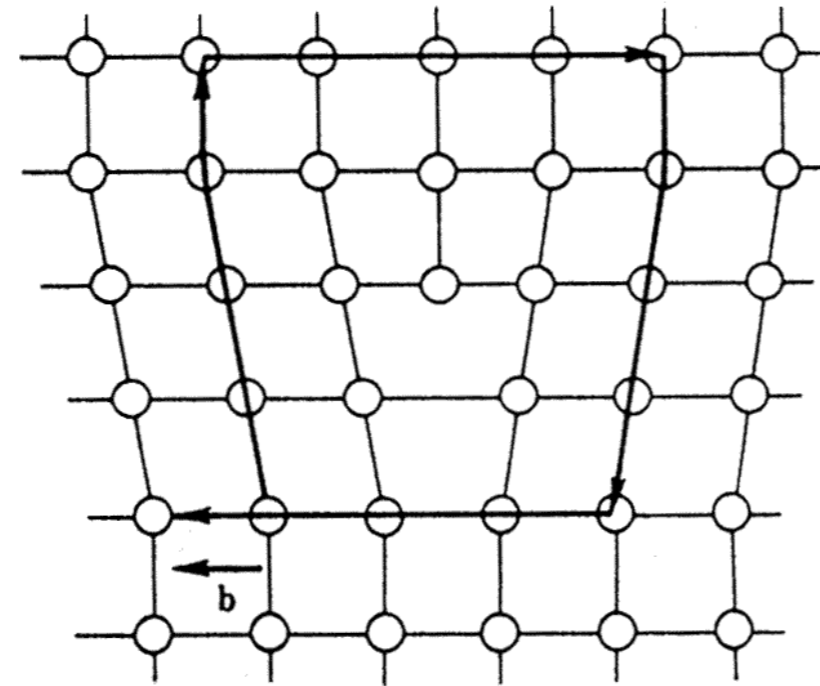
$$\text{div } \vec{\alpha} = 0 \quad \text{div } \vec{j} = 0$$

Dislocations induce internal distortion fields like current flowing in wire induces magnetic fields

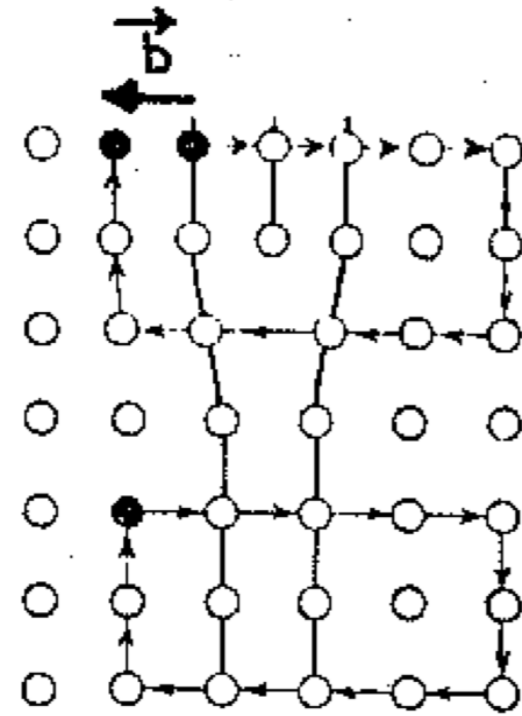
Continuum: Volterra dislocations (1860-1940)



Transposition to the crystalline lattice: Burgers vectors

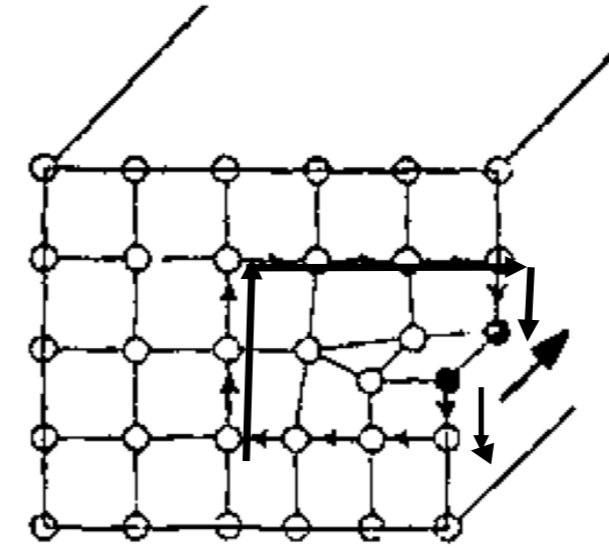


Slip plane



\vec{b} and $\vec{\xi}$ are perpendicular

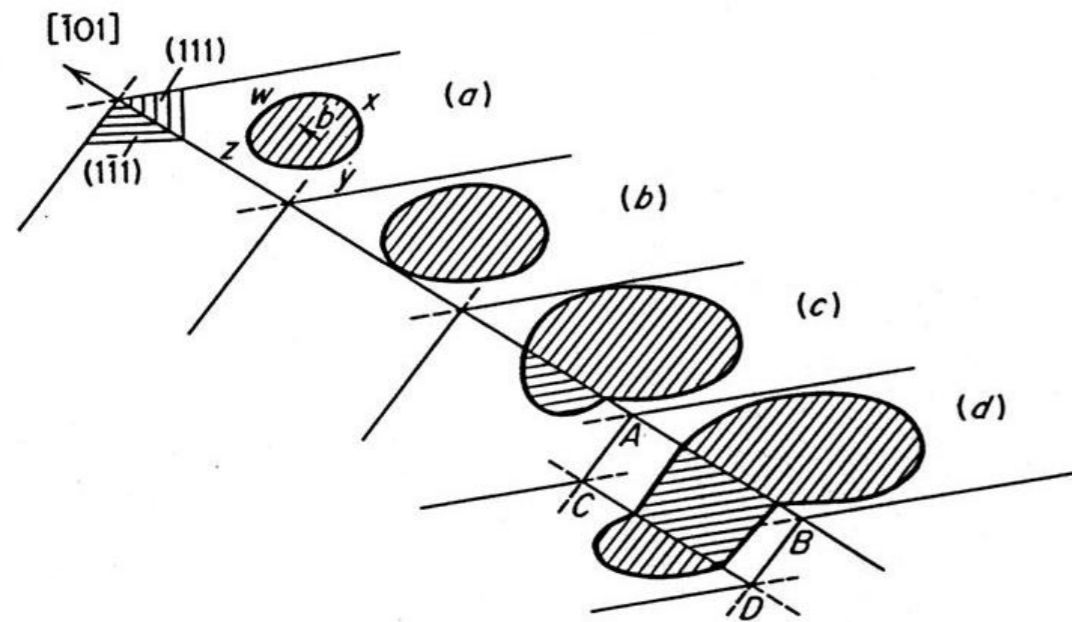
Edge



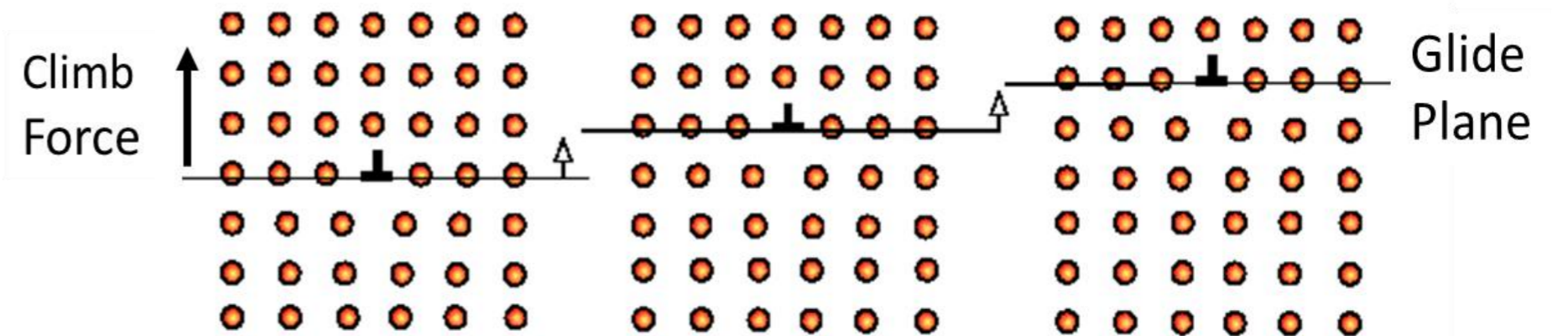
\vec{b} and $\vec{\xi}$ are parallel

Screw

Cross slip



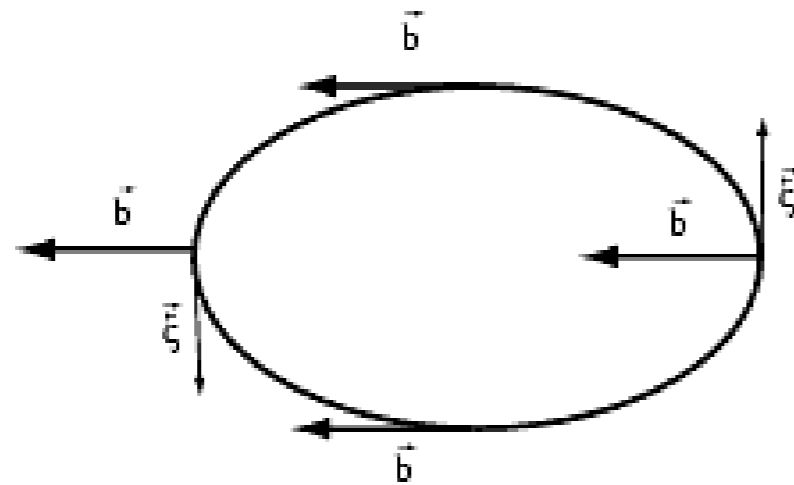
Climb



Properties of the Burgers vector

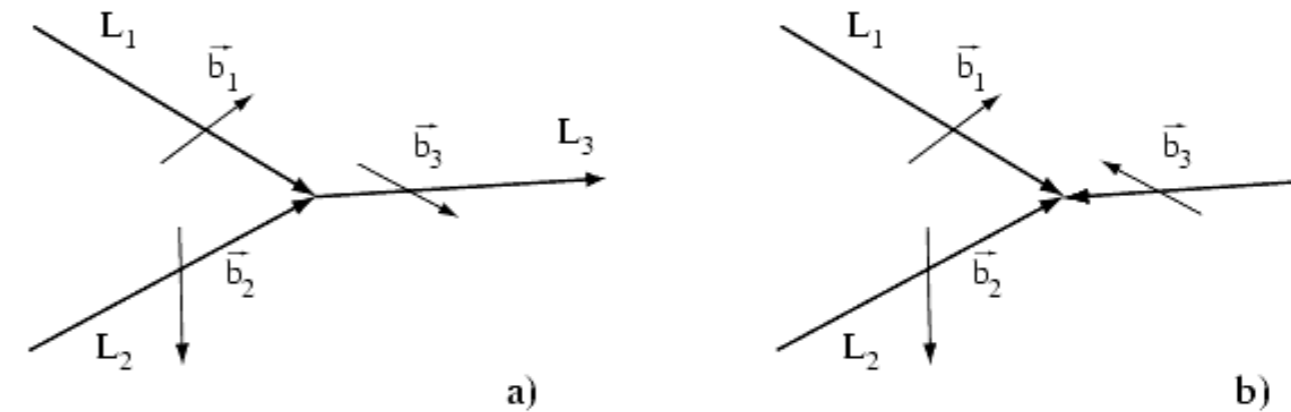
Equivalent of the Kirchhoff laws

Conservation (mesh rule)



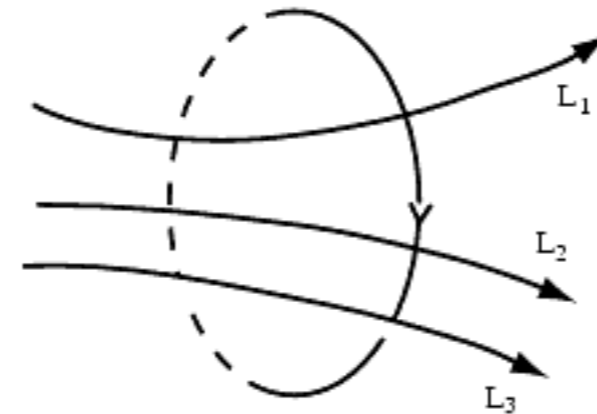
\vec{b} is constant

Sum at a node



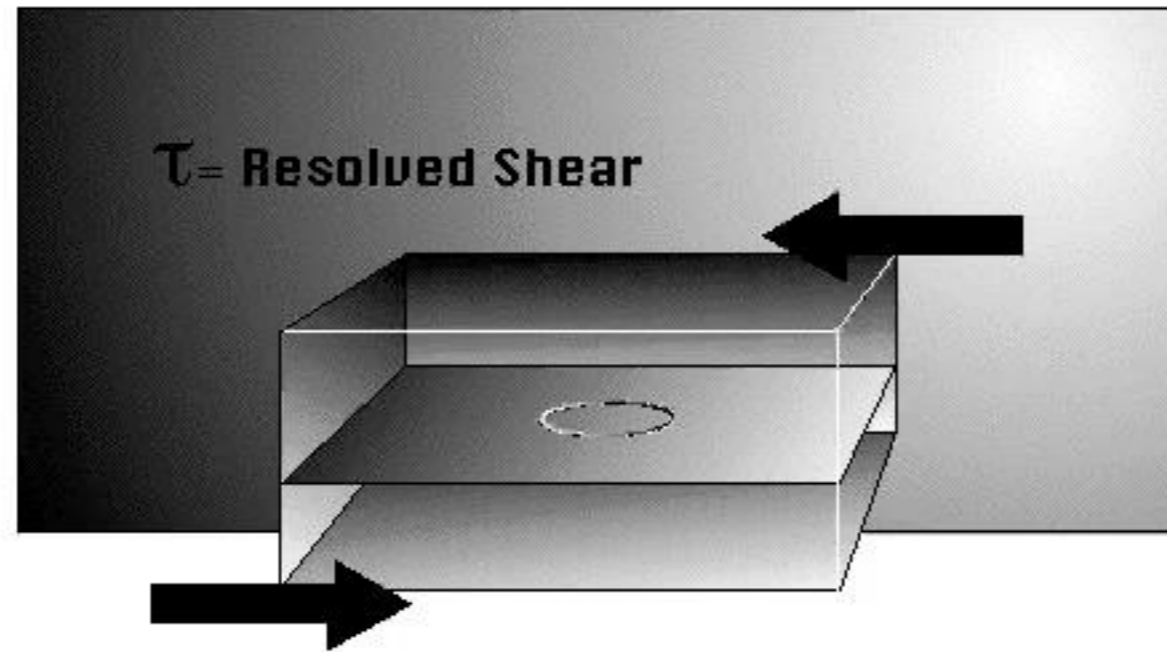
$$\vec{b}_3 = \vec{b}_1 + \vec{b}_2$$

additivity

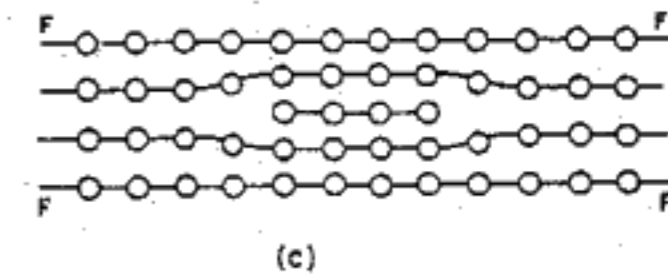
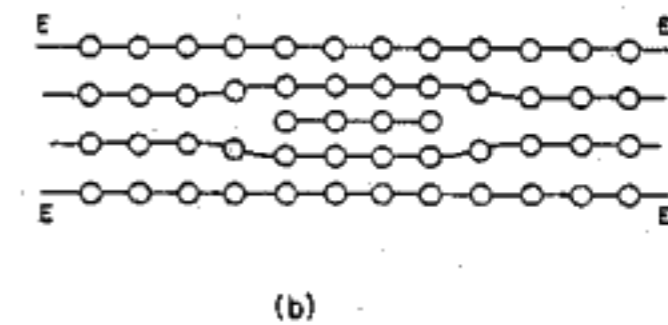
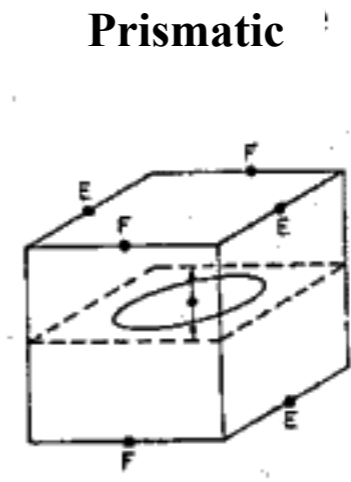
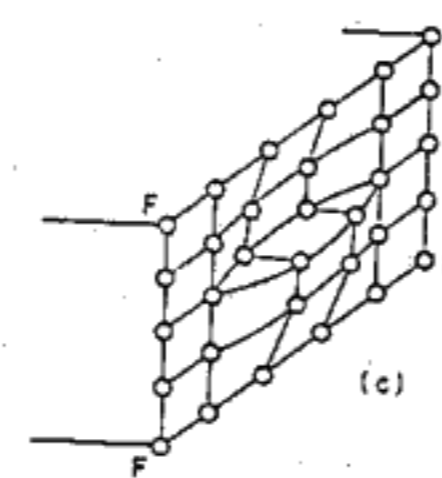
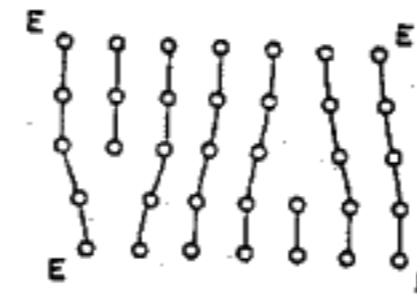
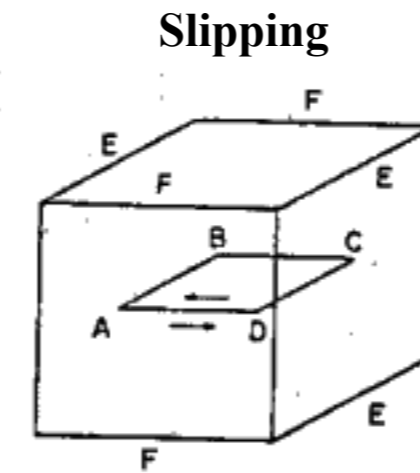
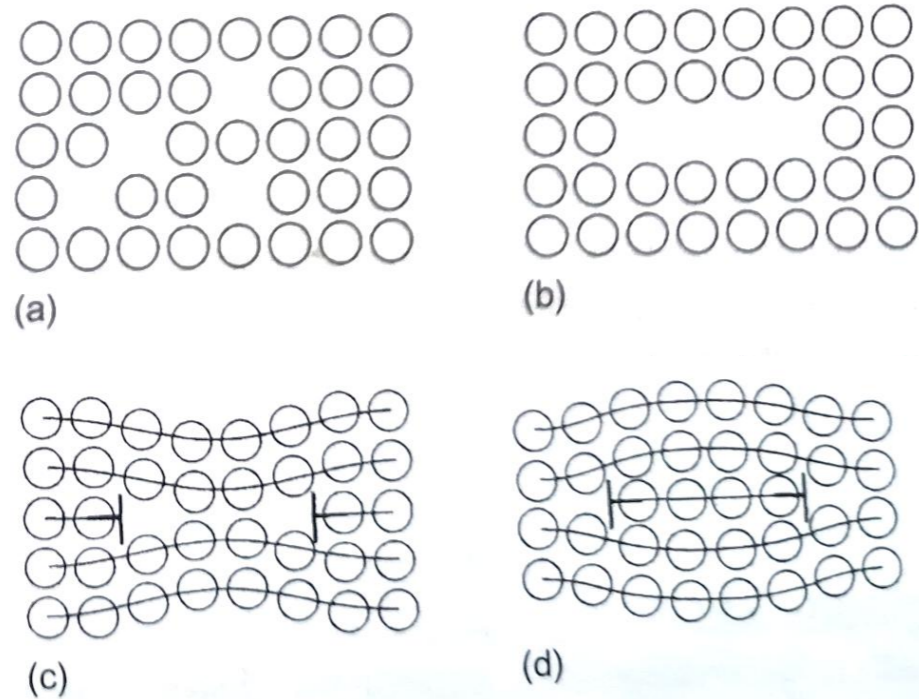


$$\vec{b} = \vec{b}_1 + \vec{b}_2 + \vec{b}_3$$

Dislocation loops

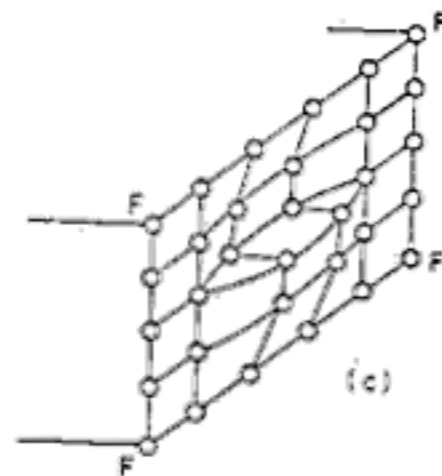
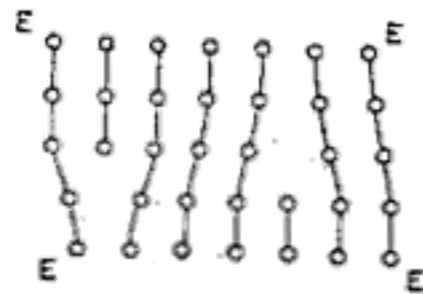
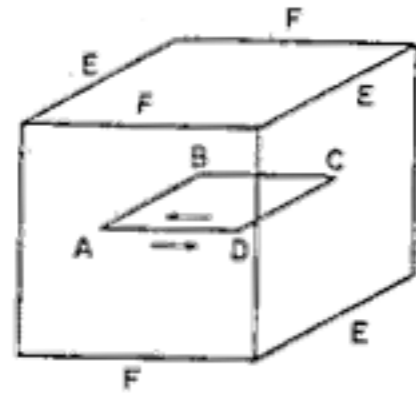


Formation of dislocation loops in irradiation

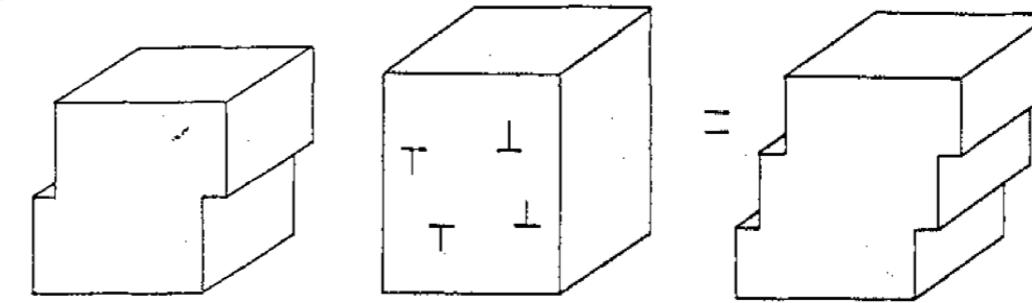
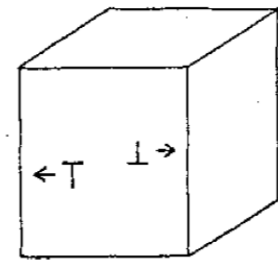


Dislocation loops

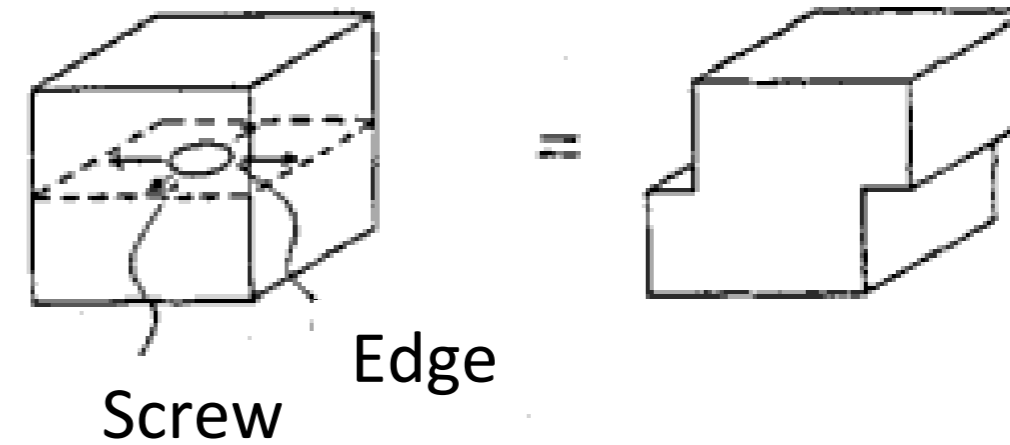
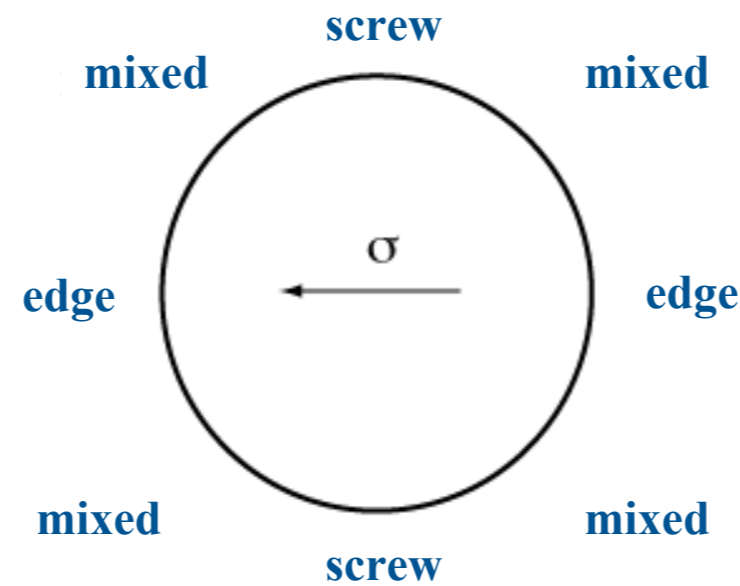
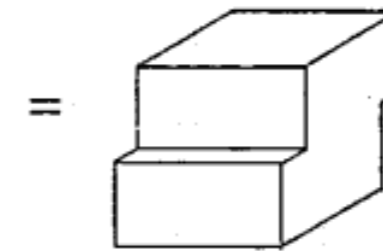
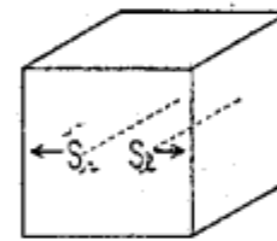
Slip



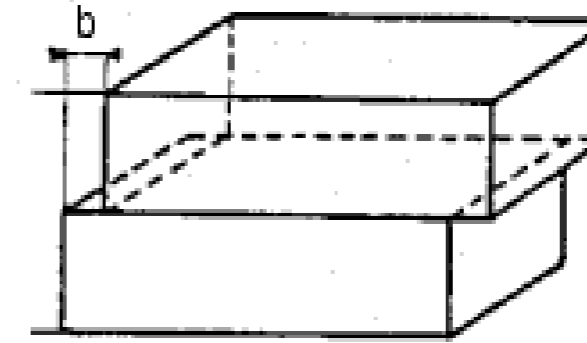
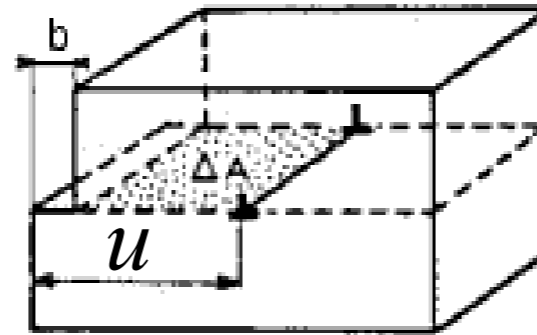
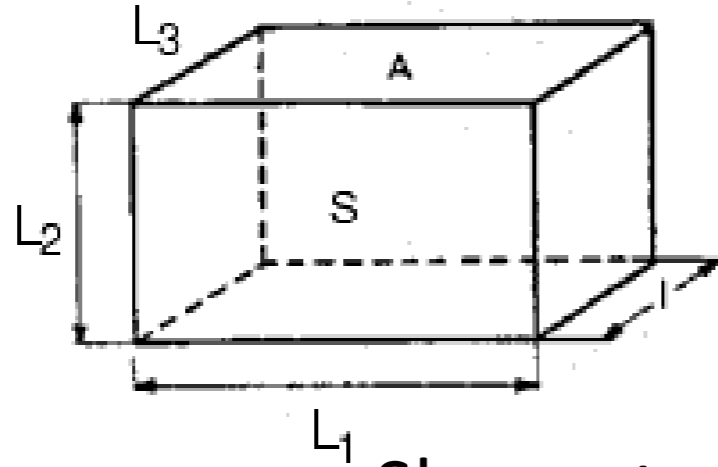
Edge components



Screw components



Orowan equation

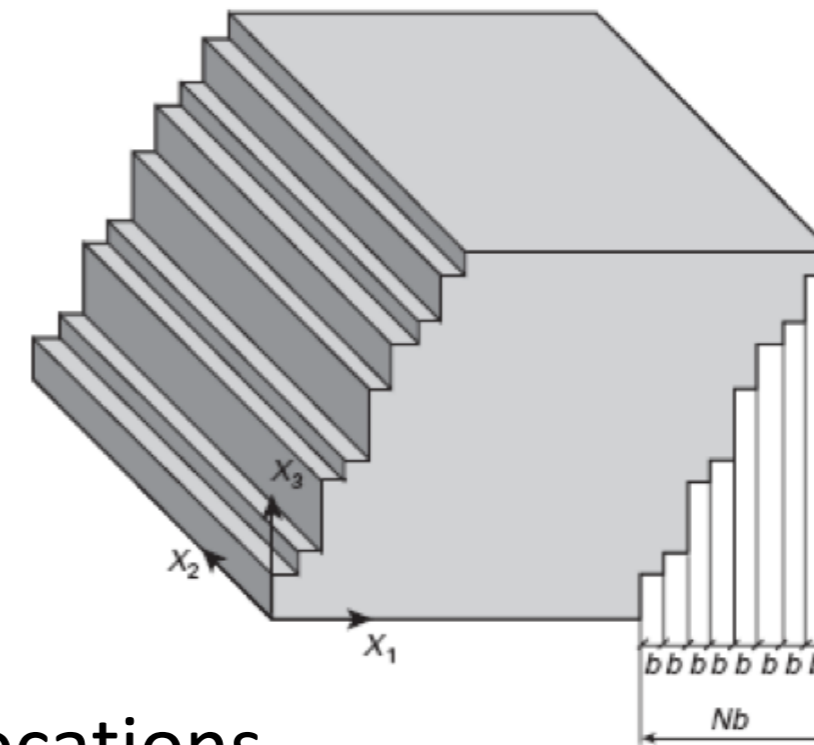


Shear-strain: $\epsilon = \frac{b}{L_2}$

Partial displacement

$$\epsilon = \frac{b}{L_2} \frac{u}{L_1} = \frac{b}{S} u$$

$$\epsilon = \frac{b}{L_2} \frac{u}{L_1} \frac{L_3}{L_3} = \frac{b}{V} \Delta A$$



Many dislocations: density of dislocations

$$\epsilon = \frac{N}{V} b \cdot \Delta A$$

$$\epsilon = \frac{N}{S} b \cdot u$$

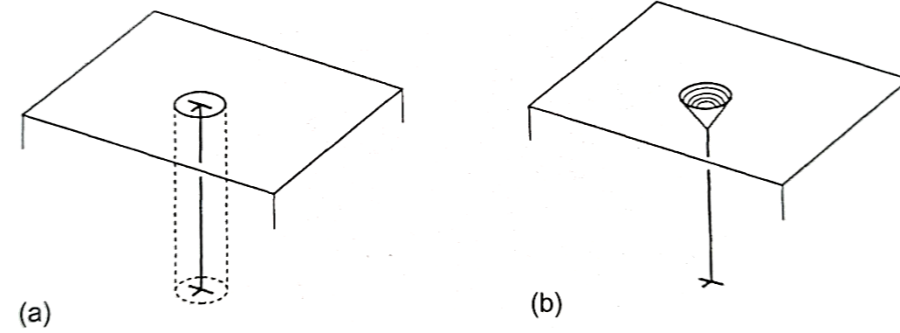
$$\Lambda = \frac{N}{S} = \frac{N \cdot L_3}{V}$$

$$\dot{\epsilon} = \Lambda b \dot{u} = \Lambda b v$$

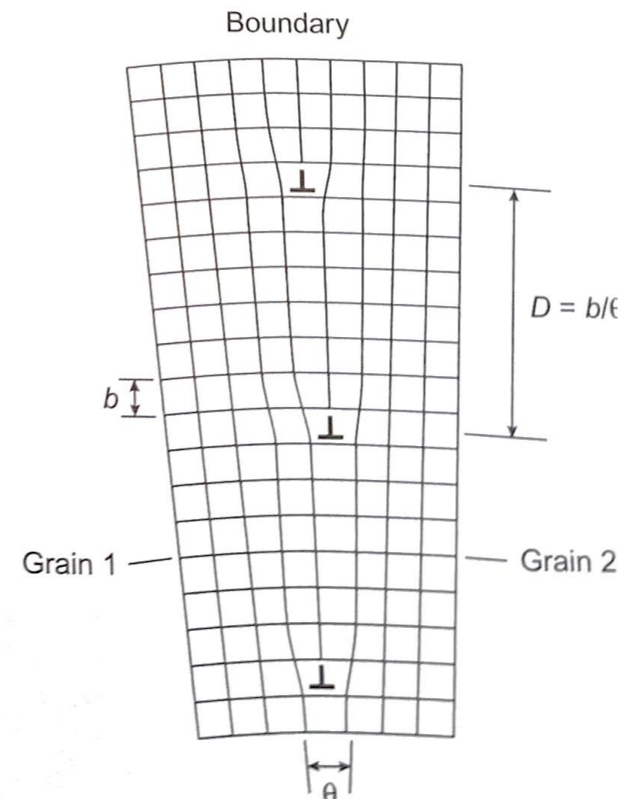
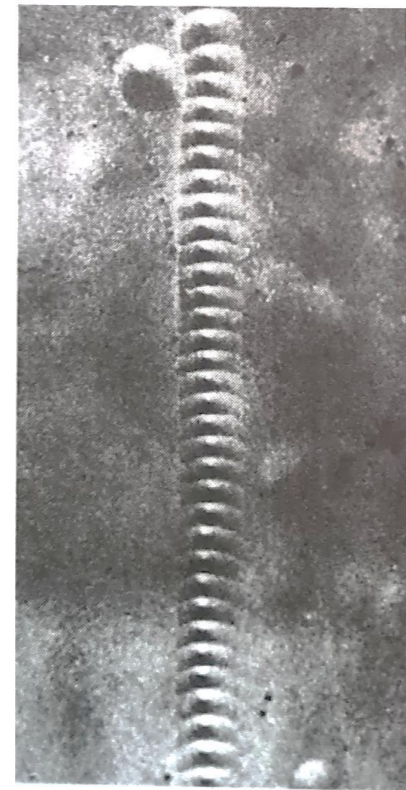
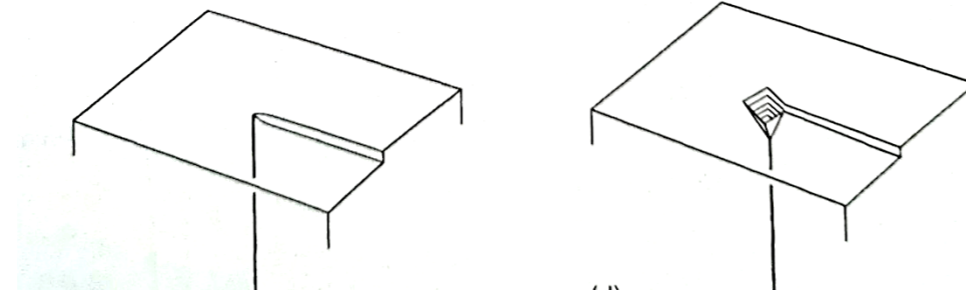
Orowan's equation

Etch pits

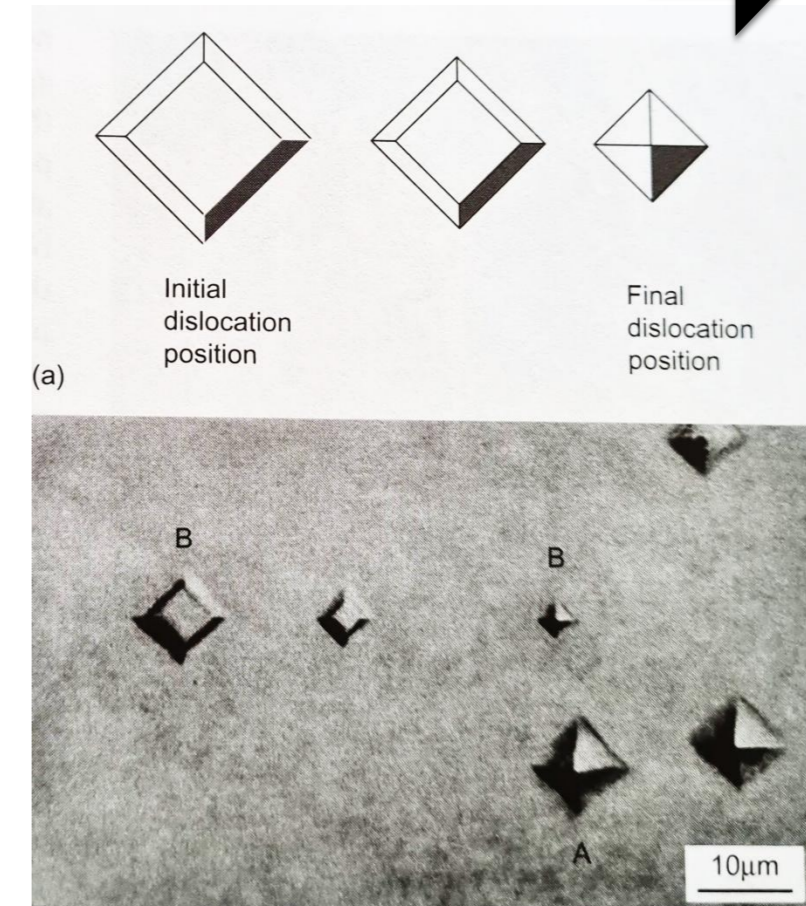
Edge



Screw

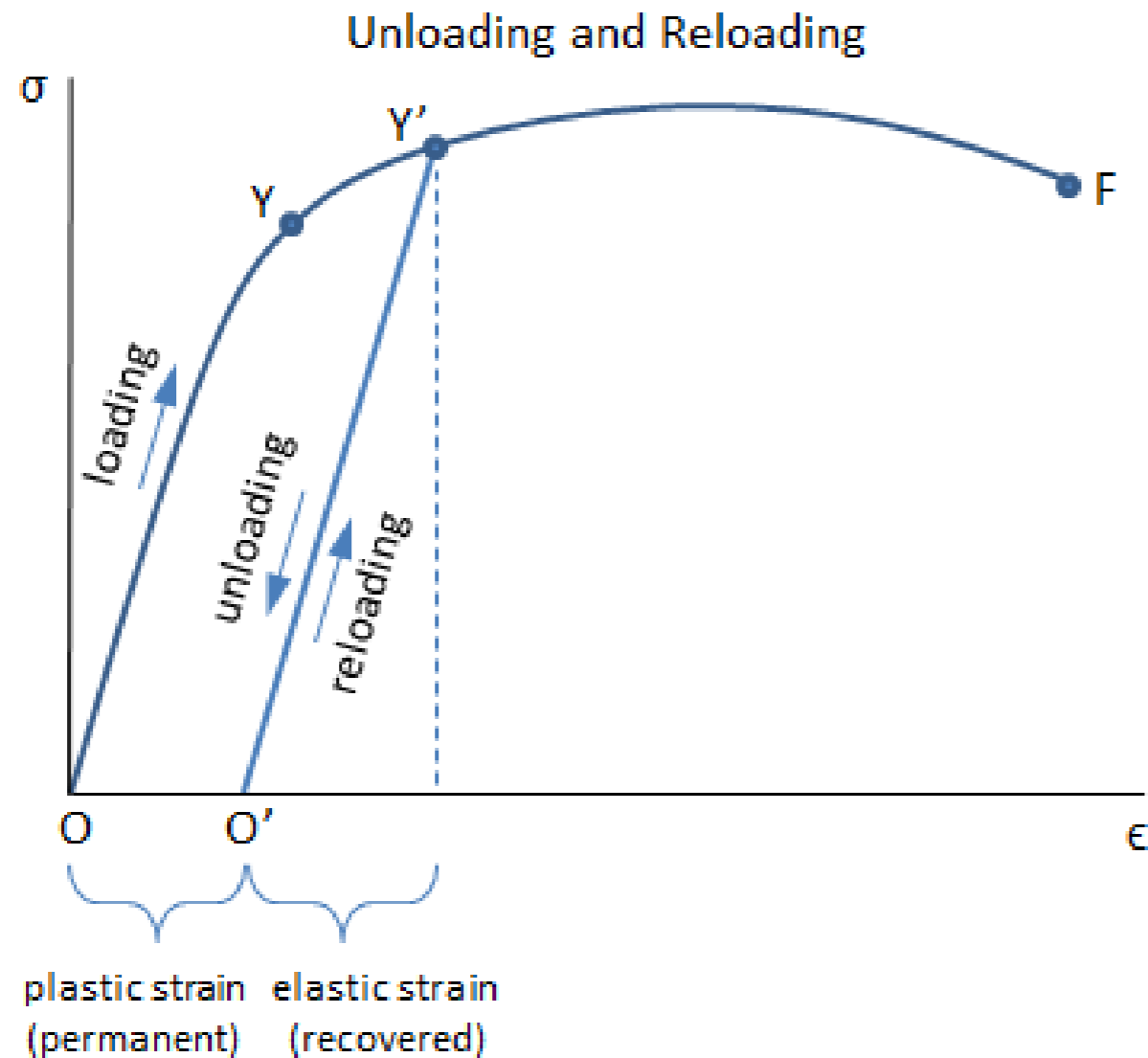


Dislocation motion

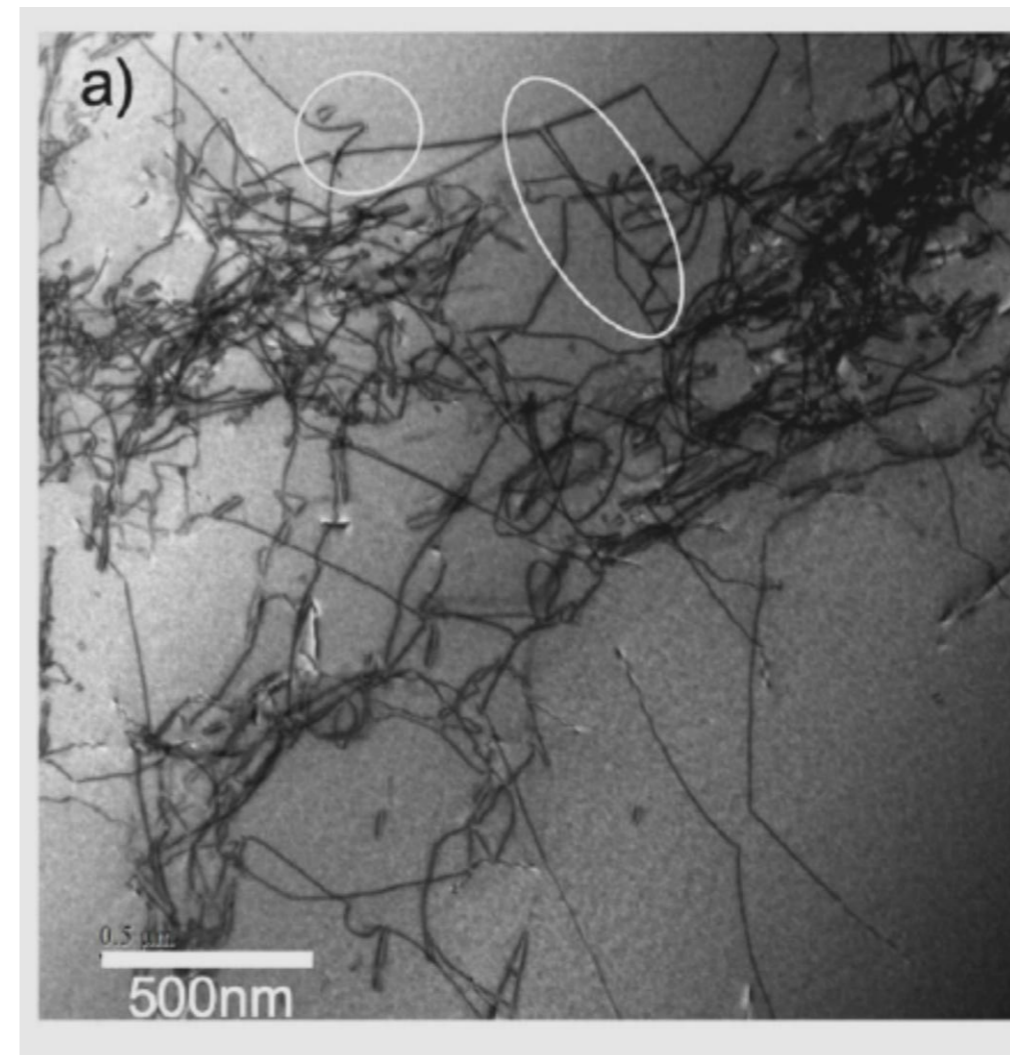


Etch pits along the grain boundaries having a dislocation network

Strain hardening: multiplying dislocations

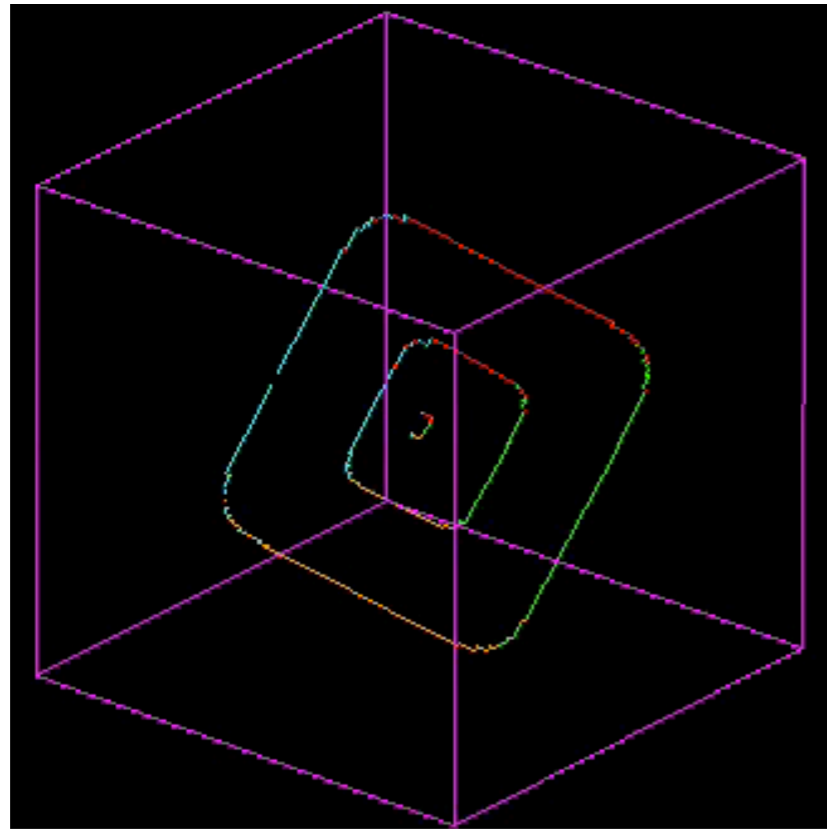


Tensile curve:
increase of the elastic limit

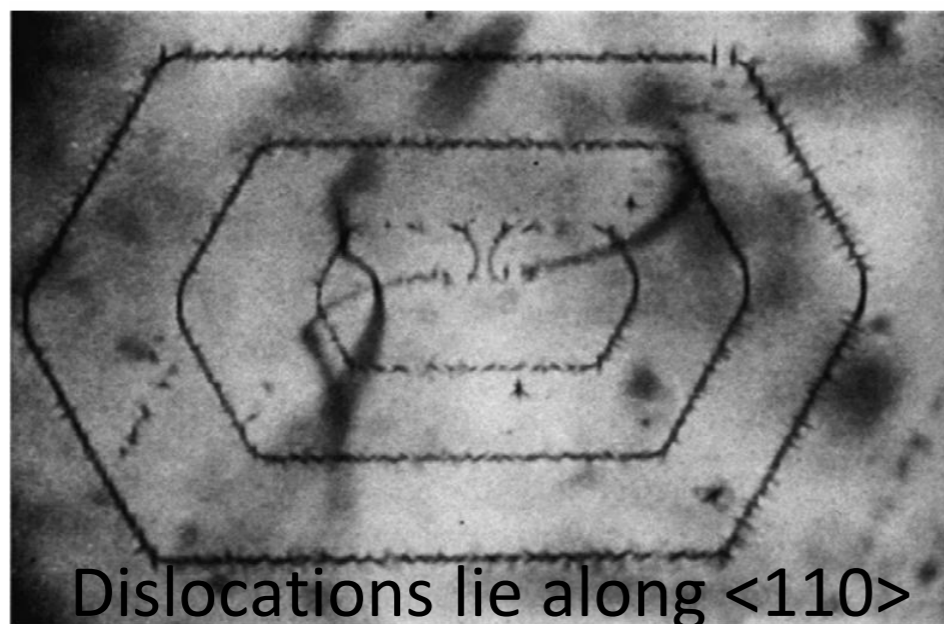


Dislocations in 10%
deformed iron

Multiplying dislocations: Frank-Read

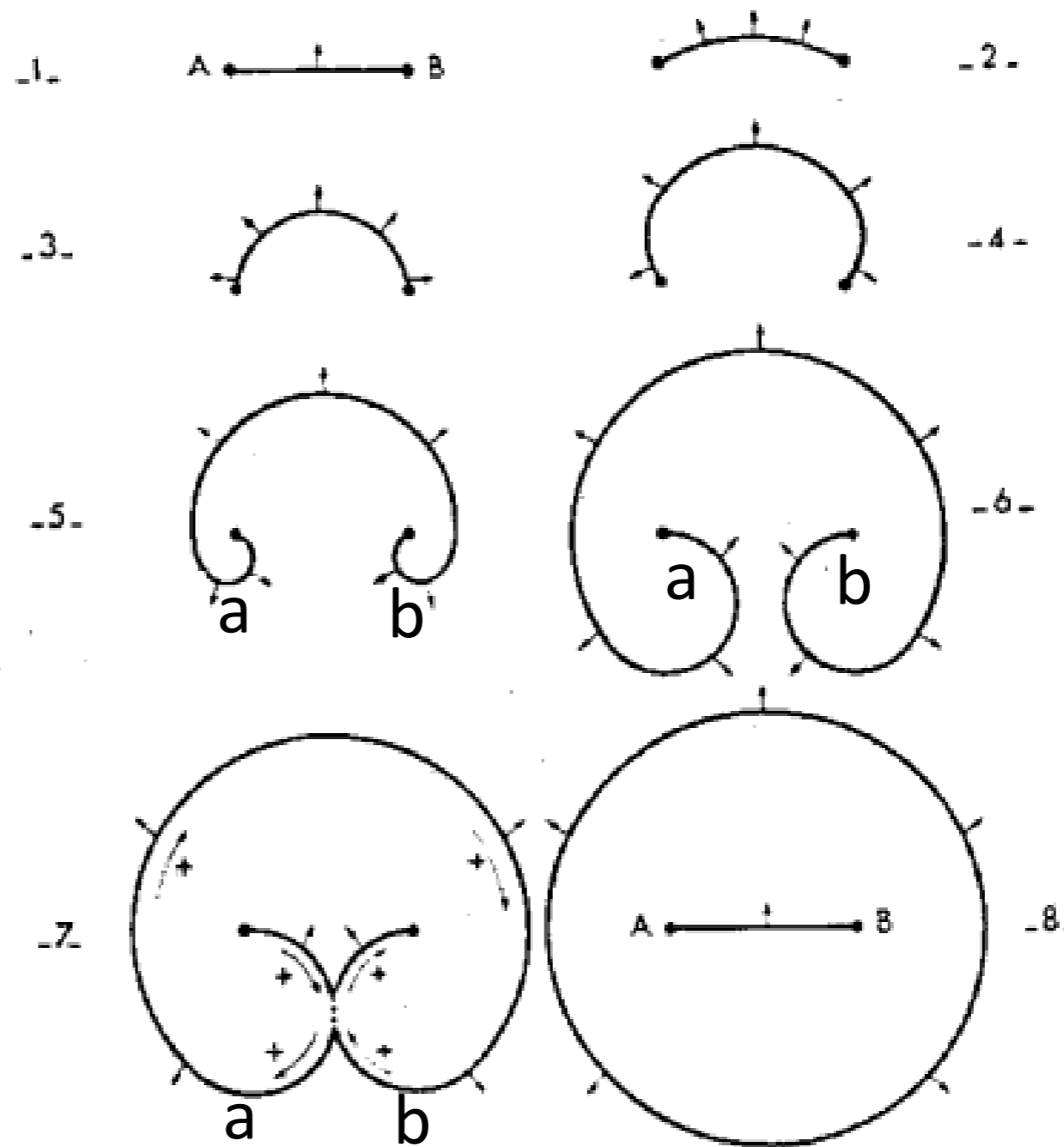


F-R source in Si



Dislocations lie along $\langle 110 \rangle$

$$\tau_{max} = \mu b / L$$



Applied force normal to line

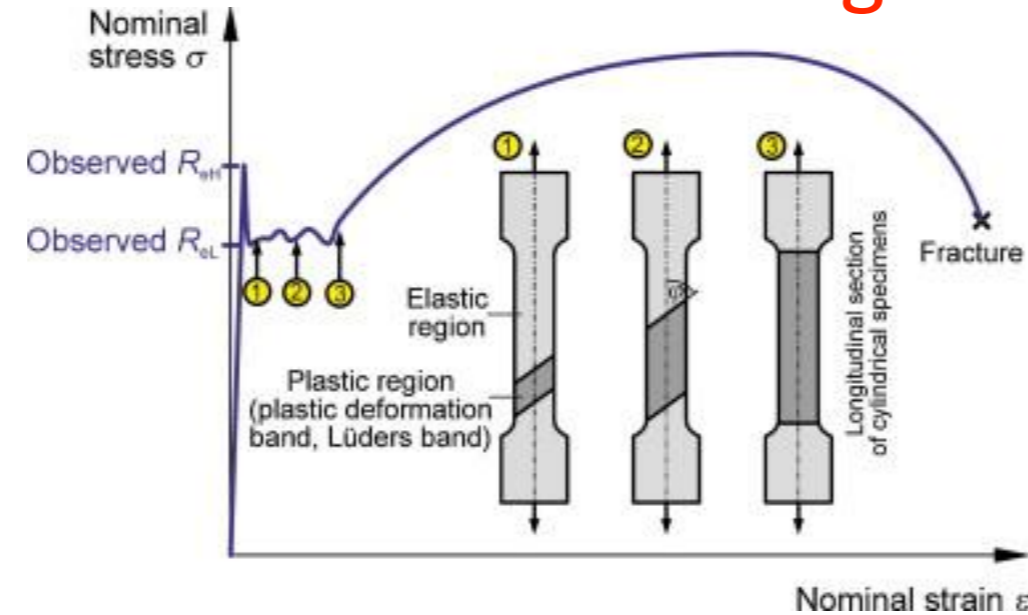
Dislocation bows

a and b segments curl under tension

a and b line touch. Since they have opposing line vectors, they cancel, leaving pinned line and a loop

Other hardening modes

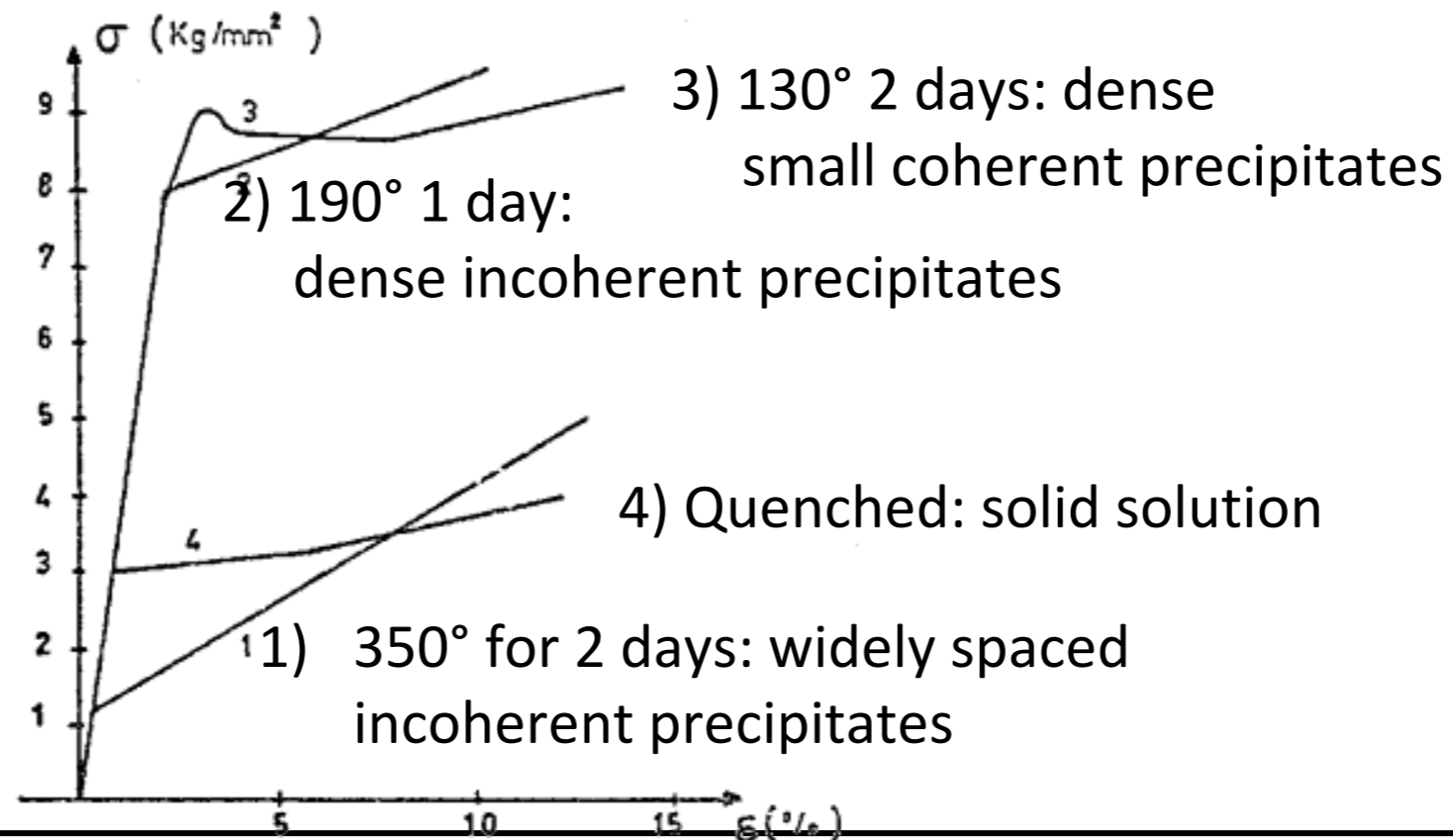
Pinning



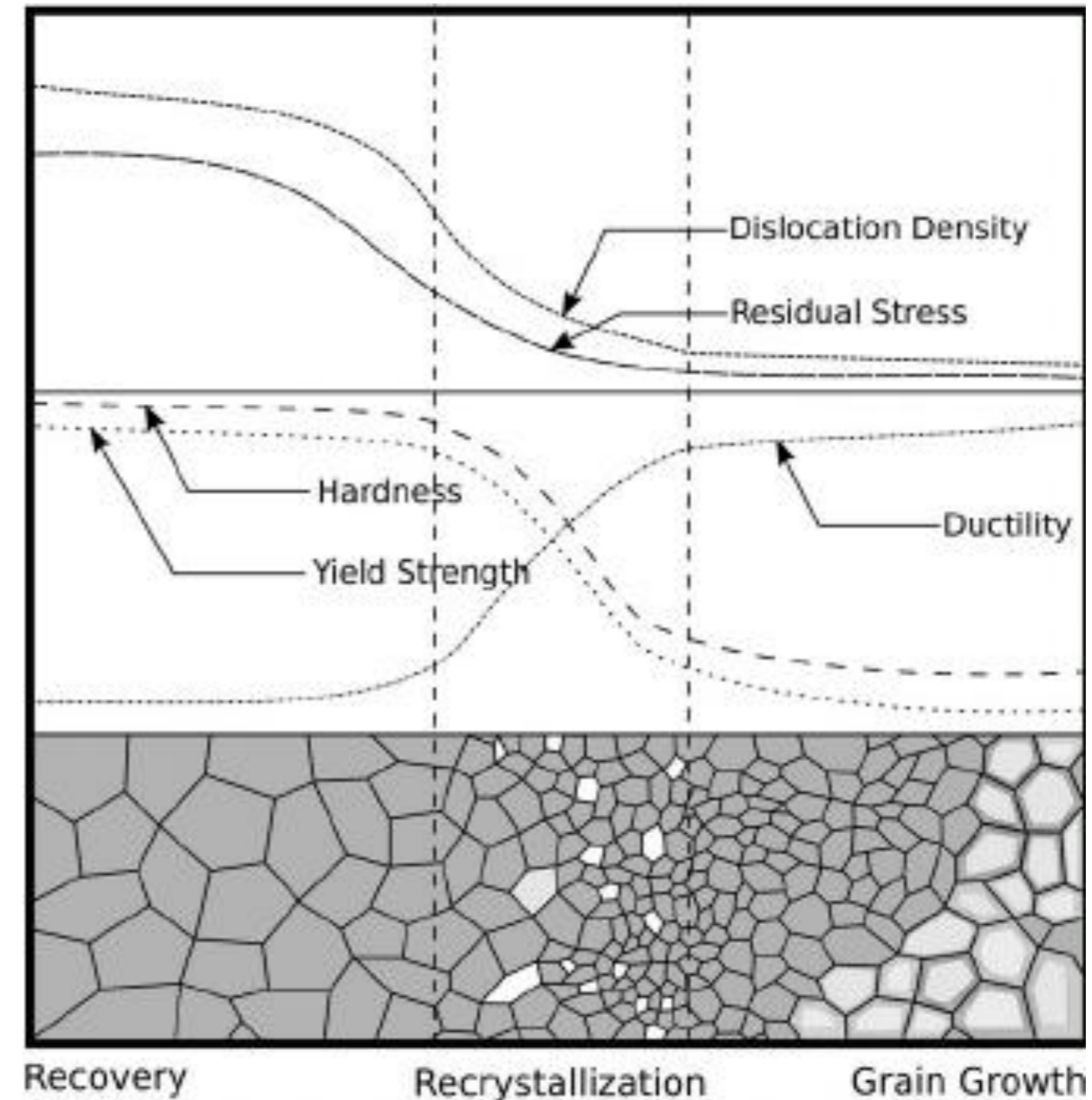
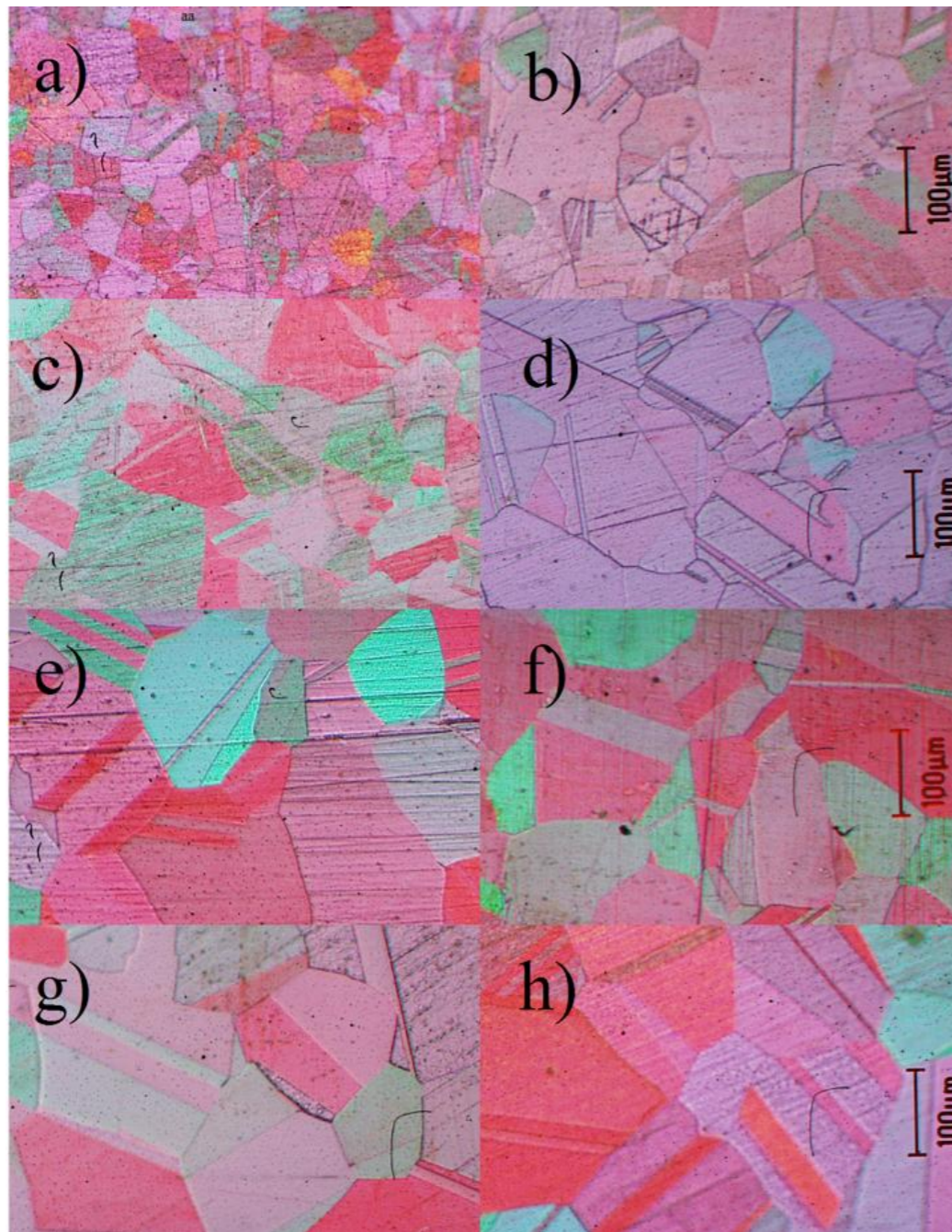
Mild Steel to carbon

Structural hardening: precipitates

Al-2%Cu

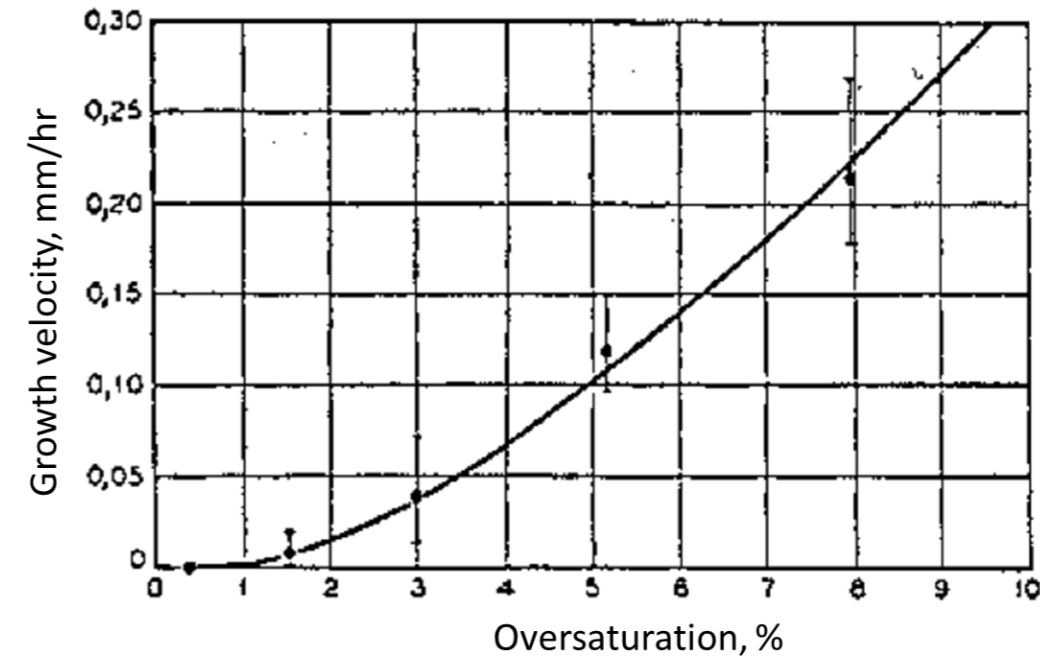
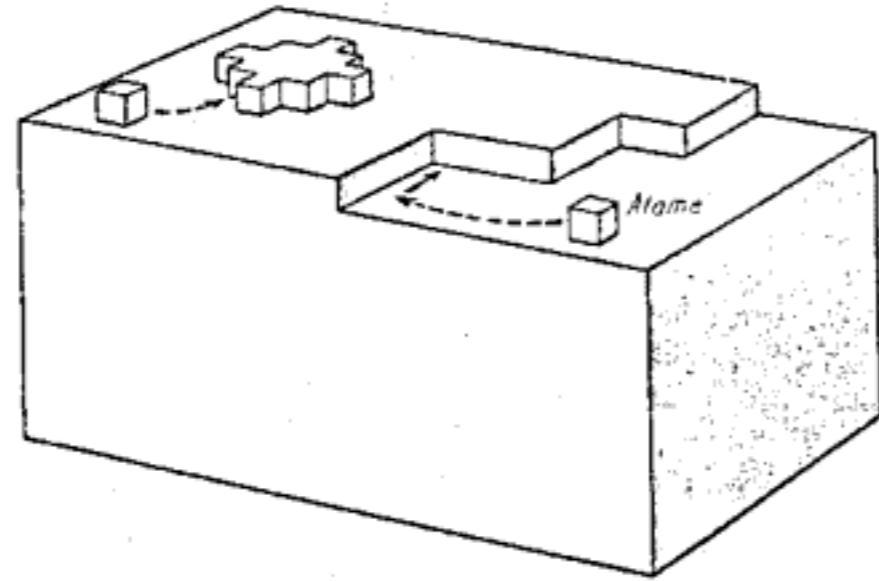


Recrystallization

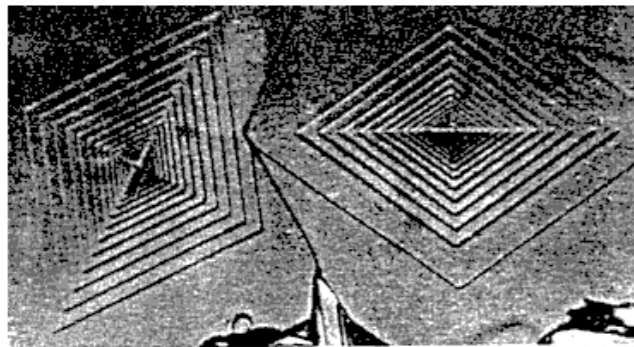


Increase of the grain size in gold

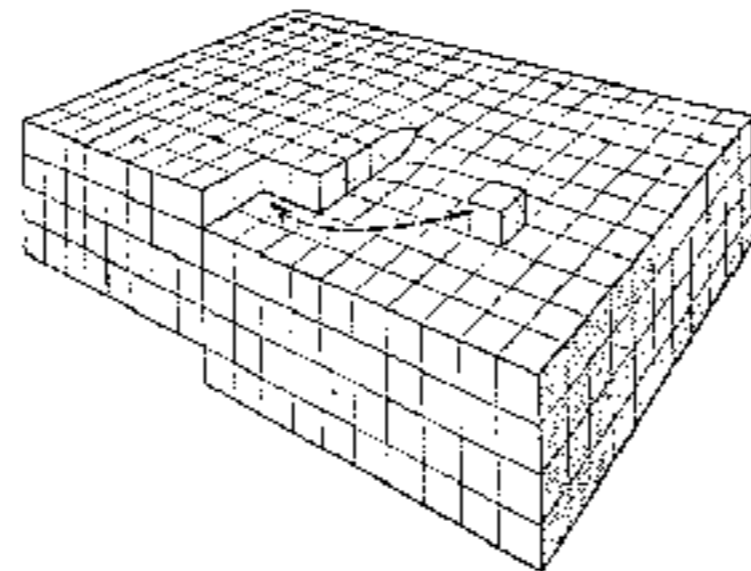
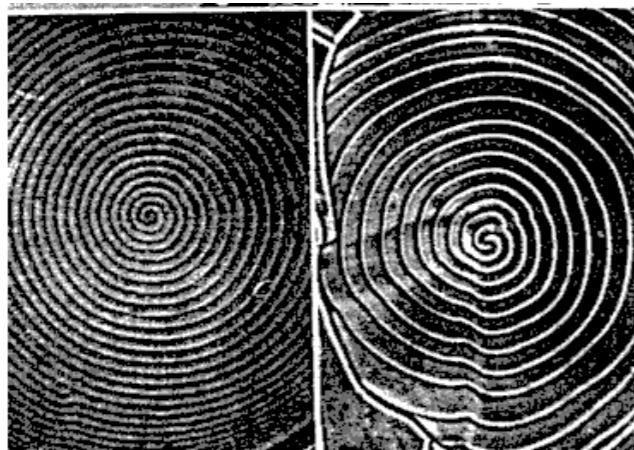
Growth of chiral crystals



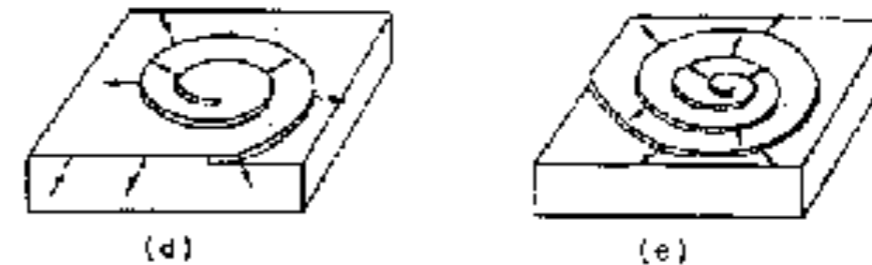
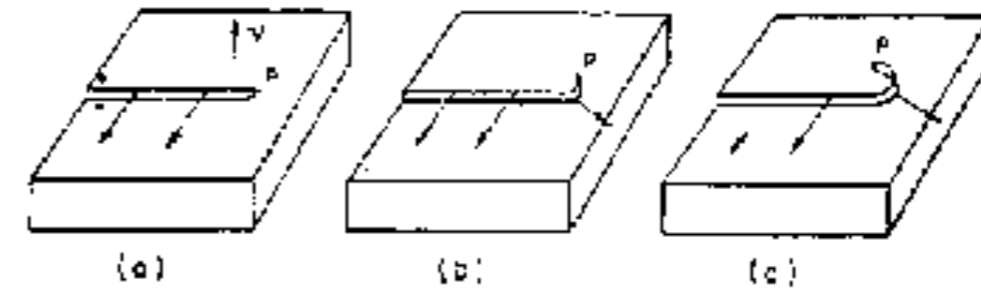
Polyethylene crystal



SiC



Growth of crystals depending on supersaturation



$$\rho = 2\rho_c\theta$$

$$\omega = \frac{d}{dt} \left(\frac{\rho_\infty}{2\rho_c} \right) = \frac{v_\infty}{2\rho_c}$$